A mechanistic-statistical approach to infer dispersal and demography from invasion dynamics, applied to a plant pathogen

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17 Abstract

Dispersal, and in particular the frequency of long-distance dispersal (LDD) events, has strong im-18 plications for population dynamics with possibly the acceleration of the colonisation front, and for 19 evolution with possibly the conservation of genetic diversity along the colonised domain. How-20 ever, accurately inferring LDD is challenging as it requires both large-scale data and a method-21 ology that encompasses the redistribution of individuals in time and space. Here, we propose a 22 mechanistic-statistical framework to estimate dispersal from one-dimensional invasions. The mech-23 anistic model takes into account population growth and grasps the diversity in dispersal processes 24 by using either diffusion, leading to a reaction-diffusion (R.D.) formalism, or kernels, leading to an 25 integro-differential (I.D.) formalism. The latter considers different dispersal kernels (e.g. Gaussian, 26 Exponential, and Exponential-power) differing in their frequency of LDD events. The statistical 27 model relies on dedicated observation laws that describe two types of samples, clumped or not. 28 As such, we take into account the variability in both habitat suitability and occupancy perception. 29 We first check the identifiability of the parameters and the confidence in the selection of the dis-30 persal process. We observed good identifiability for nearly all parameters (Correlation Coefficient 31 > 0.95 between true and fitted values), except for occupancy perception (Correlation Coefficient 32 = 0.83 - 0.85). The dispersal process that is the most confidently identified is Exponential-Power 33 (*i.e.* fat-tailed) kernel. We then applied our framework to data describing an annual invasion of 34 the poplar rust disease along the Durance River valley over nearly 200 km. This spatio-temporal 35 survey consisted of 12 study sites examined at seven time points. We confidently estimated that the 36 dispersal of poplar rust is best described by an Exponential-power kernel with a mean dispersal dis-37 tance of 2.01 km and an exponent parameter of 0.24 characterising a fat-tailed kernel with frequent 38

³⁹ LDD events. By considering the whole range of possible dispersal processes our method forms ⁴⁰ a robust inference framework. It can be employed for a variety of organisms, provided they are ⁴¹ monitored in time and space along a one-dimension invasion.

42 **1** Introduction

Dispersal is key in ecology and evolutionary biology (Clobert et al., 2004). From an applied point 43 of view, the knowledge of dispersal is of prime interest for designing ecological-based management 44 strategies in a wide diversity of contexts ranging from the conservation of endangered species (e.g., 45 Macdonald and Johnson, 2001) to the mitigation of emerging epidemics (Dybiec et al., 2009; Fabre 46 et al., 2021). From a theoretical point of view, the pattern and strength of dispersal sharply impact 47 eco-evolutionary dynamics (*i.e.* the reciprocal interactions between ecological and evolutionary 48 processes) (Miller et al., 2020). The features of dispersal have many implications for population 49 dynamics (e.g. speed of invasion, metapopulation turnover; Soubeyrand et al., 2015; Kot et al., 50 1996), genetic structure (e.g. gene diversity, population differentiation; Edmonds et al., 2004; Fa-51 yard et al., 2009; Petit, 2011) and local adaptation (Gandon and Michalakis, 2002; Hallatschek and 52 Fisher, 2014). Mathematically, the movement of dispersers (individuals, spores or propagules for 53 example) can be described by a so-called location dispersal kernel (Nathan et al., 2012) that rep-54 resents the statistical distribution of the locations of the propagules of interest after dispersal from 55 source point. Since the pioneer works of Mollison (1977), much more attention has been paid to а 56 the fatness of the tail of the dispersal kernel (Klein et al., 2006). Short-tailed kernels (also referred 57 to as thin-tailed) generate an invasion front of constant velocity, whereas long-tailed kernels (also 58 referred to as fat-tailed) can cause an accelerating front of colonisation (Ferrandino, 1993; Kot et al., 59 1996; Clark et al., 2001; Mundt et al., 2009; Hallatschek and Fisher, 2014). Long-tailed kernels, 60 characterised by more frequent long-distance dispersal (LDD) events than an exponential kernel 61 that shares the same mean dispersal distance, can also cause a reshuffling of alleles along the col-62 onisation gradient, which prevents the erosion of genetic diversity (Nichols and Hewitt, 1994; Petit, 63

⁶⁴ 2004; Fayard et al., 2009) or leads to patchy population structures (Ibrahim et al., 1996; Bialozyt
⁶⁵ et al., 2006).

Despite being a major issue in biology, properly characterising the dispersal kernels is a challen-66 ging task for many species, especially when dispersing individuals are numerous, small (and thus 67 difficult to track) and move far away (Nathan, 2001). In that quest, mechanistic-statistical models 68 enable a proper inference of dispersal using spatio-temporal datasets (Wikle, 2003a; Soubeyrand 69 et al., 2009a; Roques et al., 2011; Soubeyrand and Roques, 2014; Hefley et al., 2017; Nembot 70 Fomba et al., 2021) while allowing for the parsimonious representation of both growth and dispersal 71 processes in heterogenous environments (Papaïx et al., 2022). They require detailed knowledge of 72 the biology of the species of interest to properly model the invasion process. They combine a mech-73 anistic model describing the invasion process and a probabilistic model describing the observation 74 process while enabling a proper inference using spatio-temporal data. Classically, the dynamics of 75 large populations are well described by deterministic differential equations. Invasions have often 76 been modelled through reaction-diffusion equations (Murray, 2002; Okubo and Levin, 2002; Shi-77 gesada and Kawasaki, 1997). In this setting, individuals are assumed to move randomly following 78 trajectories modelled using a Brownian motion or a more general stochastic diffusion process. Des-79 pite their long standing history, the incorporation of reaction-diffusion equations into mechanistic-80 statistical approaches to estimate parameters of interest from spatio-temporal data essentially dates 81 back to the early 2000s (e.g. Wikle, 2003a; Soubeyrand and Roques, 2014; Louvrier et al., 2020; 82 Nembot Fomba et al., 2021). By contrast to reaction-diffusion equations, integro-differential equa-83 tions encode trajectories modelled by jump diffusion processes and rely on dispersal kernels, in-84 dividuals being redistributed according to the considered kernel (Fife, 1996; Hutson et al., 2003; 85 Kolmogorov et al., 1937). This approach allows to consider a large variety of dispersal functions, 86

typically with either a short or a long tail (i.e. putative LDD events). As such it is more likely to 87 model accurately the true organism's dispersal process. In the presence of long-distance dispersal, 88 the biological interpretation of the estimated diffusion parameters with an R.D. equation would be 89 misleading. This approach allows to consider a large variety of dispersal functions, typically with 90 either a short or a long tail (*i.e.* putative LDD events). As such it is more likely to model accurately 91 the true organism's dispersal process. In the presence of long-distance dispersal, the biological 92 interpretation of the estimated diffusion parameters with an R.D. equation would be misleading. 93 However, integro-differential equations are numerically more demanding to simulate than reaction-94 diffusion equations. As far as we know, integro-differential equations have rarely been embedded 95 into mechanistic-statistical approaches to infer dispersal processes in ecology (but see Szymańska 96 et al., 2021 for a recently proposed application of a non-local model to cell proliferation). 97

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Data acquisition is another challenge faced by biologists in the field, all the more that data congo fined to relatively small spatial scales can blur the precise estimates of the shape of the kernels 100 tail (Ferrandino, 1996; Kuparinen et al., 2007; Rieux et al., 2014). To gather as much information 101 as possible, it is mandatory to collect data over a wide range of putative population sizes (from 102 absence to near saturation) along the region of interest. Sharing the sampling effort between raw 103 and refined samples to browse through the propagation front may improve the inference of spatial 104 ecological processes (Gotway and Young, 2002). This way of sampling is all the more interesting 105 as the probabilistic model describing the observation process in the mechanistic-statistical approach 106 can handle such multiple datasets (Wikle, 2003b). However, inference based on multi-type data 107 remains a challenging statistical issue as the observation variables describing each data type follow 108 different distribution laws (Chagneau et al., 2011) and can be correlated or, more generally, depend-109

ent because they are governed by the same underlying dynamics (Bourgeois et al., 2012; Georgescu
et al., 2014; Soubeyrand et al., 2018). This requires a careful definition of the conditional links
between the observed variables and the model parameters (the so-called observation laws) in order
to identify and examine complementarity and possible redundancy between data types.

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In this article, we aim to provide a sound and unified inferential framework to estimate dispersal 115 from ecological invasion data using both reaction-diffusion and integro-differential equations. We 116 first define the two classes of mechanistic invasion models, establish the observation laws corres-117 ponding to raw and refined samplings, and propose a maximum-likelihood method to estimate their 118 parameters within the same inferential framework. Then, to confirm that each model parameter 119 can indeed be efficiently estimated given the amount of data at hand (see Soubeyrand and Roques, 120 2014), we perform a simulation study to check model parameters' identifiability given the sampling 121 design. We also aim to assess the confidence level in the choice of the dispersal function as derived 122 by model selection. Last, the inferential framework is applied to original ecological data describing 123 the annual invasion of a tree pathogen (Melampsora larici-populina, a fungal species responsible 124 for the poplar rust disease) along the riparian stands of wild poplars bordering the Durance River 125 valley in the French Alps (Xhaard et al., 2012). 126

¹²⁷ 2 Modelling one-dimensional invasion and observation processes

2.1 A class of deterministic and mechanistic invasion models

We model the dynamics of a population density u(t,x) at any time t and point x during an invasion using two types of spatially heterogeneous deterministic models allowing to represent a wide range of dispersal processes. Specifically, we considered a reaction-diffusion model (R.D.) and an integrodifferential model (I.D.):

133 R.D.
$$\begin{cases} \partial_t u(t,x) = D\partial_{xx}u(t,x) + r(x)u(t,x)\left(1 - \frac{u(t,x)}{K}\right), & \text{I.D.} \\ u(0,x) = u_0(x), & u(0,x) = u_0(x), \end{cases}$$
 I.D.
$$\begin{cases} \partial_t u(t,x) = \int_{-R}^{R} J(x-y)[u(t,y) - u(t,x)] \, dy + r(x)u(t,x)\left(1 - \frac{u(t,x)}{K}\right), & u(0,x) = u_0(x). \end{cases}$$

where t varies in [0,T] (*i.e.* the study period) and x varies in [-R,R] (*i.e.* the study domain). Both 135 equations exhibit the same structure composed of a diffusion/dispersal component and a reaction 136 component. The reaction component, $r(x)u(t,x)\left(1-\frac{u(t,x)}{K}\right)$ in both equations, is parameterised 137 by a spatial growth rate r(x) that takes into account macro-scale variations of the factors regulat-138 ing the population density and K the carrying capacity of the environment. It models population 139 growth. The diffusion/dispersal component models population movements either by a diffusion 140 process ($D\partial_{xx}u$ in R.D.) parameterised by the diffusion coefficient D or by a dispersal kernel (J in 141 I.D.). To cover a large spectrum of possible dispersal processes, we use the following parametric 142 form for the kernel *J*: 143

$$J := \frac{\tau}{2\alpha\Gamma\left(\frac{1}{\tau}\right)} e^{-\left|\frac{z}{\alpha}\right|^{\tau}} \tag{1}$$

with mean dispersal distance $\lambda := \alpha \frac{\Gamma(\frac{2}{\tau})}{\Gamma(\frac{1}{\tau})}$. Varying the value of τ leads to the kernels classically used in dispersal studies. Specifically, *J* can be a Gaussian kernel ($\tau = 2, \lambda = \alpha/\sqrt{\pi}$), an exponential kernel ($\tau = 1, \lambda = \alpha$) or a fat-tail kernel ($\tau < 1, \lambda = \alpha \Gamma\left(\frac{2}{\tau}\right) / \Gamma\left(\frac{1}{\tau}\right)$). Explicit formulas for the solution u(t,x) of these reaction-diffusion/dispersal equations being out of reach, we compute a numerical approximation u_{num} of u, which serves as a surrogate for the real solution. Details of the numerical scheme used to compute u_{num} can be found in Appendix S1.

2.2 A conditional stochastic model to handle micro-scale fluctuations

Among the factors driving population dynamics, some are structured at large spatial scales (macro-151 scale) and others at local scales (micro-scale). It is worth considering both scales when studying 152 biological invasions. In the model just introduced, the term r(x) describes factors impacting pop-153 ulation growth rate at the macro-scale along the whole spatial domain considered. Accordingly, 154 the function u(t,x) is a mean-field approximation of the true population density at macro-scale. 155 Furthermore, the population density can fluctuate due to micro-scale variations of other factors reg-156 ulating population densities locally (e.g. because of variations in the micro-climate and the host 157 susceptibility). Such local fluctuations are accounted for by a conditional probability distribution 158 on u(t,x), the macro-scale population density, which depends on the (unobserved) suitability of the 159 habitat unit as follow. Consider a habitat unit *i* whose centroid is located at x_i , and suppose that the 160 habitat unit is small enough to reasonably assume that $u(t,x) = u(t,x_i)$ for every location x in the 161 habitat unit. Let $N_i(t)$ denote the number of individuals in *i* at time *t*. The conditional distribution 162 of $N_i(t)$ is modelled by a Poisson distribution: 163

$$N_i(t) \mid u(t, x_i), R_i(t) \sim \text{Poisson}(u(t, x_i)R_i(t)),$$
(2)

where $R_i(t)$ is the intrinsic propensity of the habitat unit *i* to be occupied by individuals of the population at time *t*. Thereafter, $R_i(t)$ is called habitat suitability and takes into account factors like the exposure and the favorability of habitat unit *i*. The suitability of habitat unit *i* is a random effect (unobserved variable) and is assumed to follow a Gamma distribution with shape parameter σ^{-2} and scale parameter σ^2 :

$$R_i(t) \sim \text{Gamma}(\sigma^{-2}, \sigma^2).$$
 (3)

This parametrisation implies that the mean and variance of $R_i(t)$ are 1 and σ^2 , respectively; that the conditional mean and variance of $N_i(t)$ given $u(t,x_i)$ are $u(t,x_i)$ and $u(t,x_i) + u(t,x_i)^2 \sigma^2$, respectively; and that its conditional distribution is:

$$N_i(t) \mid u(t, x_i) \sim \text{Negative-Binomial}\left(\sigma^{-2}, \frac{u(t, x_i)\sigma^2}{1 + u(t, x_i)\sigma^2}\right).$$
 (4)

172 2.3 Multi-type sampling and models for the observation processes

During an invasion, the population density may range from zero (beyond the front) to the maximum 173 carrying capacity of the habitat. To optimise the sampling effort, it may be relevant to carry out 174 different sampling procedures depending on the population density at the sampling sites. In this 175 article, we consider a two-stage sampling made of one raw sampling, which is systematic and one 176 optional refined sampling adapted to our case study, the downstream spread of a fungal pathogen 177 along a river (Figure 1). We consider that the habitat unit is a leaf. The fungal population is 178 monitored in sampling sites $s \in \{1, ..., S\}$ and at sampling times $t \in \{t_1, ..., t_K\}$. Sampling sites are 179 assumed to be small with respect to the study region, and the duration for collecting one sample 180 is assumed to be short with respect to the study period. Thus, the (macro-scale) density of the 181

population at sampling time *t* in sampling site *s* is constant and equal to $u(t, z_s)$ where z_s is the centroid of the sampling site *s*. Any sampling site *s* is assumed to contain a large number of leaves which are, as a consequence of the assumptions made above, all associated with the same population density function: $u(t, x_i) = u(t, z_s)$ for all leaves *i* within sampling site *s*. Each observed tree and twig are assumed to be observed only once during the sampling period. Therefore, habitat suitabilities $R_i(t)$ are considered independent in time.

The raw sampling is focused on trees, considered as a group of independent leaves regarding 188 their suitabilities. This assumption can be made if the leaves observed on the same tree are suffi-189 ciently far from each other and represent a large variety of environmental conditions, and therefore 190 habitat suitabilities (for example, leaves observed all around a tree will not have the same sun ex-191 position, nor the same humidity depending on their height and their relative positions to the trunk). 192 In each sampling site s and at each sampling time t, a number B_{st} of trees is are monitored for the 193 presence of infection. We count the number of infected trees Y_{st} among the total number B_{st} of 194 observed trees. In the simulations and the case study tackled below, the random variables Y_{st} given 195 $u(t, x_s)$ are independent and distributed under the conditional Binomial distribution f_{st}^{raw} described 196 in Appendix S2.2. Its success probability depends on the variabilities of (i) the biological process 197 through the variance parameter σ^2 of habitat suitabilities, and (ii) the observation process through 198 a parameter γ . This parameter describes how the probabilities of leaf infection perceived by the 199 person in charge of the sampling differ between trees from true probabilities (as informed by the 200 mechanistic model). Such differences may be due, for example, to the specific configuration of the 201 canopy of each tree or to particular lighting conditions. 202

The refined sampling is focused on twigs, considered as a group of connected leaves. Nearby leaves often encounter the same environmental conditions and, therefore, are characterised by sim-

ilar habitat suitabilities represented by $R_i(t)$; see Equations (2–3). This spatial dependence was 205 taken into account by assuming that the leaves of the same twig (considered as a small group of 206 spatially connected leaves) share the same leaf suitability. Accordingly, suitabilities are considered 207 as shared random effects. The refined sampling is performed depending on disease prevalence and 208 available time. In site s at time t, G_{st} twigs are collected. For each twig g, the total number of 209 leaves M_{stg} and the number of infected leaves Y_{stg} are counted. In the simulations and the case 210 study tackled below, the random variables Y_{stg} given $u(t, x_s)$ are independent and distributed under 211 conditional probability distributions denoted by f_{st}^{ref} described in Appendix S2.3. The distribution 212 f_{st}^{ref} is a new mixture distribution (called Gamma-Binomial distribution) obtained using Equations 213 (2-3) and taking into account the spatial dependence and the variance parameter of unobserved 214 suitabilities (see Appendix <u>\$2.3</u>). 215

This sampling scheme and its vocabulary (leaves, twigs and trees) isare specifically adapted to our case study for the sake of clarity. However, a wide variety of multi-type sampling strategies can be defined and implemented in the model, as long as it fits a two-stage sampling as presented in Figure 1.

220 **2.4** Coupling the mechanistic and observation models

The submodels of the population dynamics and the observation processes described above can be coupled to obtain a mechanistic-statistical model (also called physical-statistical model; Berliner, 2003; Soubeyrand et al., 2009b) representing the data and depending on dynamical parameters, namely the growth and dispersal parameters. The likelihood of this mechanistic-statistical model 225 can be written:

$$L(\theta) = \prod_{s=1}^{S} \prod_{t=t_1}^{t_K} \left\{ f_{st}^{\text{raw}}(Y_{st}) \left(\prod_{g=1}^{G_{st}} f_{st}^{\text{ref}}(Y_{stg}) \right)^{\mathbb{1}(Y_{st} > \bar{y})} \right\},\tag{5}$$

where $\mathbb{1}(\cdot)$ denotes the indicator function and expressions of f_{st}^{raw} and f_{st}^{ref} adapted to the case study 226 tackled below are given by Equations (S14) and (S18) in Appendix S2. The power $\mathbb{1}(Y_{st} > \bar{y})$ equals 227 to 1 if $Y_{st} > \bar{y}$ and 0 otherwise, implies that the product $\prod_{g=1}^{G_{st}} f_{st}^{\text{ref}}(Y_{stg})$ only appears if the refined 228 sampling is carried out in site s. Moreover, such a product expression for the likelihood is achieved 229 by assuming that leaves in the raw sampling and those in the refined sampling are not sampled from 230 the same trees. If this does not hold, then an asymptotic assumption like the one in Appendix \$2.2 231 can be made to obtain Equation (5), or the dependence of the unobserved suitabilities must be taken 232 into account and another likelihood expression must be derived. 233

3 Parameter estimation and model selection

We performed simulations to check the practical identifiability of several scenarios of biological 235 invasions. Invasion scenarios represent a wide range of possible states of nature regarding the 236 dispersal process, the environmental heterogeneity at macro-scale, and the intensity of local fluctu-237 ations at micro-scale. Even though the simulations are designed to cope with the structure of our 238 real data set (Appendix S4), the results enable some generic insights to be gained. Specifically, we 239 considered six sampling dates evenly distributed in time and 12 samplings sites evenly distributed 240 within the 1D spatial domain. For each pair (date, site), we simulated the raw sampling of 100 trees 241 and the refined sampling of 20 twigs. For the fifth sampling date, the raw sampling was densified 242 with 45 sampling sites instead of 12. 243

The simulation study explored four hypotheses for the dispersal process: three I.D. hypotheses 244 with kernels J_{Exp} , J_{Gauss} and J_{ExpP} and the R.D. hypothesis. Hypotheses J_{Exp} and J_{Gauss} state that 245 individuals dispersed according to Exponential and Gaussian kernels, respectively, with parameter 246 $\theta_J = (\lambda)$. Hypothesis J_{ExpP} states that individuals dispersed according to a fat-tail Exponential-247 power kernel with parameters $\theta_J = (\lambda, \tau)$ and $\tau < 1$. Finally, hypothesis R.D. states that individual 248 dispersal is a diffusion process parameterised by $\theta_J = (\lambda)$. The parameter λ represents the mean 249 distance travelled whatever the dispersal hypothesis considered. Moreover, macro-scale environ-250 mental heterogeneity was accounted for in the simulations by varying the intrinsic growth rate of 251 the pathogen population (r) in space. Specifically, along the one-dimensional domain, we con-252 sidered two values of r, namely a downstream value r_{dw} and an upstream value r_{up} , parameterised 253 by $\theta_r = (r_{dw}, \omega)$ such that $r_{up} = r_{dw}e^{\omega}$. Finally, micro-scale heterogeneity was accounted for in 254 the simulations by varying the parameter of leaf suitability σ^2 and tree perception γ . Thereafter, 255 $\theta = (\theta_r, \theta_J, \gamma, \sigma^2)$ denotes the vector of model parameters. 256

3.1 Accurate inference of model parameters

To assess the estimation method and check if real data that were collected are informative enough to efficiently estimate the parameters of the models (the so-called practical identifiability), we proceeded in three steps for each dispersal hypothesis: (i) a set of parameter values $\theta = (\theta_r, \theta_J, \gamma, \sigma^2)$ is randomly drawn from a distribution that encompasses a large diversity of realistic invasions, (ii) a data set with a structure similar to our real sampling is simulated given θ and (iii) θ is estimated using the maximum-likelihood method applied to the simulated data set. These steps were repeated n = 100 times. Details on the simulation procedure, the conditions used to generate realistic invasions, and on the estimation algorithm are provided in Appendix S4.1. Practical identifiability was
tested by means of correlation coefficients between the true and estimated parameter values (see
Table 1, Appendix S2: Figures S2, S3, S4, S5).

All the parameters defining the macro-scale mechanistic invasion model (r_{dw} , ω , λ) display very good practical identifiability whatever the model, with correlation coefficients above 0.93. In the case of the Exponential-power dispersal kernel, the additional parameter representing the tail of the distribution (τ) also displays a very good practical identifiability with a correlation coefficient of 0.95. The parameter defining the micro-scale fluctuations, σ^2 , leads to particularly high correlation coefficients (0.99 for all the models). The identifiability for the perception parameter γ related to the observation process is somewhat lower (from 0.83 to 0.85).

3.2 Confidence in the selection of the dispersal process

Numerical simulations were next designed to test whether model selection could disentangle the 276 true dispersal process (*i.e.* the dispersal hypothesis used to simulate the data set) from alternative 277 dispersal processes (Appendix S4.2). The model selection procedure is efficient for the dispersal 278 hypotheses Exponential-power J_{ExpP} , Exponential J_{Exp} , and reaction-diffusion R.D., with 70%, 62% 279 and 58% of correct kernel selection, respectively (Table 2). When the fat-tail Exponential-power 280 kernel is not correctly identified, it is mostly mistaken with the Exponential one (for 20% of the 281 simulations). In line with this, the probability of correctly selecting the kernel J_{ExpP} decreases when 282 the parameter τ increases towards 1, the value for which the Exponential-power kernel coincides 283 with the Exponential kernel (Figure 2). Importantly, when the Exponential-power kernel is correctly 284 selected, we observe a large difference between its AIC and the AIC of the second best model (89.62 285

points on average). Conversely, when the invasion process is simulated under J_{ExpP} , but another 286 kernel is selected, we observe a very small AIC difference (0.38 point on average). Model selection 287 does not allow to correctly select the Gaussian kernel J_{Gauss} (Table 2). Indeed, with only 26% of 288 correct model selection, this kernel is not better identified than with a random draw of one of the 289 four models, which would lead to 25% of correct estimations. Its correct identification is greatly 290 improved by densifying the sampling scheme (Appendix S4.5: Table S2). Finally, note that when 291 the invasion process is simulated under model R.D. or J_{Gauss} , a short-tail kernel is always selected 292 and, thus, never confounded with the fat-tail kernel J_{ExpP} . 293

²⁹⁴ 4 Case study: Invasion of poplar rust along the Durance River ²⁹⁵ valley

296 4.1 Study site

We applied our approach to infer the dispersal of the plant pathogen fungus Melampsora larici-297 populina, responsible for the poplar rust disease, from the monitoring of an epidemic invading the 298 Durance River valley. Embanked in the French Alps, the Durance River valley constitutes a one-299 dimension ecological corridor whichthat channels annual epidemics of the poplar rust pathogen 300 *M. larici-populina* (Xhaard et al., 2012). Each year the fungus has to reproduce on larches (*Larix* 301 decidua) that are located in the upstream part of the valley only. This constitutes the starting point 302 of the annual epidemics. Then the fungus switches to poplar leaves and performs several rounds of 303 infection until leaf-fall. Each infected leaf produces thousands of spores that are wind-dispersed. In 304 our case study, $u(t, x_s)$ is the density of fungal infection at time t at point x on a poplar leaf. Each 305

³⁰⁶ leaf has a carrying capacity of 750 fungal infections (Appendix S5).

All along the valley, the Durance River is bordered by a nearly continuous riparian forest of 307 wild poplars (*Populus nigra*). The annual epidemic on poplars thus spreads downstream through the 308 riparian stands, mimicking a one-dimension biological invasion (Xhaard et al., 2012). A previous 309 genetic study showed that the epidemic was indeed initiated in an upstream location where poplars 310 and larches coexist (Prelles), and progresses along the valley (Becheler et al., 2016). In fallautumn, 311 the corridor is cleared for disease after leaf-fall. At 62 km downstream of the starting point of the 312 epidemics, the Serre-Ponçon dam represents a shift point in the valley topology, with a steed-sided 313 valley upstream and a larger riparian zone downstream. This delimitation led us to consider 2 values 314 of growth rates r along the one-dimensional domain: r_{up} and r_{dw} (see Appendix S4 for details). 315

4.2 Monitoring of an annual epidemic wave

In 2008, rust incidence was monitored every three weeks from July to November at 12 sites evenly distributed along the valley (Figure 3). Sites were inspected during seven rounds of surveys. For a unique date (Oct. 22), the raw sampling was densified with 45 sites monitored instead of 12. We focused on young poplar trees (up to 2m high) growing on the stands by the riverside.

Two monitorings were conducted, corresponding to the raw and refined sampling, as described in previous sections. For the raw sampling, we prospected each site at each date to search for rust disease by inspecting randomly distributed poplar trees (different trees at different dates for a given site). Depending on rust incidence and poplar tree accessibility, 40 to 150 trees (mean 74) were checked for disease. Each tree was inspected through a global scan of the leaves on different twigs until at least one infected leaf was found or after 30 s of inspection. The tree was denoted infected

or healthy, respectively. This survey method amounts to minutely inspecting 10 leaves per tree, 327 *i.e.* with the same efficiency of disease detection as through the refined sampling (see details of the 328 statistical procedure in Appendix S_3). The global scan procedure of the trees leads to equivalently 329 surveying fewer and fewer leaves as the epidemic progresses. Optionally, when at least one tree 330 was infected, and depending on available time, we carried out a refined sampling to collect more 331 information on the variance in disease susceptibility (*i.e.* habitat suitability) among the sampling 332 domain. The refined sampling consisted in randomly sampling 20 twigs on different trees and 333 recording, for each, the total number of leaves and the number of infected leaves. 334

4.3 Dispersal and demographic processes ruling the epidemic wave

³³⁶ Model selection was used to decipher which dispersal process was best supported by the data set ³³⁷ for five initial conditionsparameter values. The large AIC difference in favour of hypothesis J_{ExpP} ³³⁸ indicates that poplar rust propagules assuredly disperse according to an exponential-power dispersal ³³⁹ kernel along the Durance River valley (Table 3). Note that for all kernels, the five initial con-³⁴⁰ ditionsparameter values lead to similar estimations. Under the R.D. hypothesis, however, initial ³⁴¹ conditionsparameter values can lead to different estimations because of local optima, but all AIC ³⁴² resulting from the R.D. hypothesis are higher than AIC resulting from the three dispersal kernels.

The estimation of the parameters for the best model along with their confidence intervals (Appendix S4.3) are summarised in Table 4. The parameters of the Exponential-power kernel firstly indicate that the mean distance travelled by rust spores is estimated at 2.01 km. Second, its mean exponent parameter τ is 0.24. This value, much lower than 1, suggests substantial long-distance dispersal events. We also estimated the growth rates of the poplar rust epidemics along the Durance

River valley. From upstream to downstream, their mean estimates are 0.084 and 0.020, respectively. 348 The estimate of the parameter of the observation model, γ , is 5.21. This parameter represents how 349 perceived probabilities of leaf infection differ among trees from true probabilities. The estimated 350 value of 5.21 indicates some variability in the perception of infected leaves, but this variability 351 is moderate because the shape of the underlying Beta-Binomial distribution approaches the Bino-352 mial distribution (for which perception differences are absent) (Figure 4, row 1). By contrast, the 353 estimated value of the micro-scale fluctuation variance σ^2 (1.09) suggests a substantial variabil-354 ity in leaf suitability between twigs. This is evidenced by comparing the shape of the estimated 355 Gamma-Binomial distribution with a situation with negligible differences in receptivity between 356 twigs (Figure 4, row 2, case $\sigma^2 = 0.01$). 357

Model check consists in testing whether the selected model was indeed able -given the para-358 meter values inferred above- to reproduce the observed data describing the epidemic wave that 359 invaded the Durance River valley in 2008. To do so, we assessed the coverage rate of the raw 360 sampling data (proportions of infected trees) based on their 95%-confidence intervals (Appendix 361 S4.4, Figure 5). Over all sampling dates, the meantotal coverage rate is high (0.75), which indicates 362 that the model indeed captures a large part of the strong variability of the data. By comparison, 363 coverage rates given by models J_{Exp} and J_{Gauss} (0.69 and 0.67, respectively) show a poorer fit to 364 the data, especially for the first sampling date (Figures S6, S7) where the epidemic intensity is 365 underestimated upstream and overestimated downstream. 366

367 **5 Discussion**

This study combines mechanistic and statistical modelling to jointly infer the demographic and dis-368 persal parameters underlying a biological invasion. A strength of the mechanistic model was to 369 combine population growth with a large diversity of dispersal processes. The mechanistic model 370 was coupled to a sound statistical model that considers different types of count data. These ob-371 servation laws consider that habitat suitability and disease perception can vary over the sampling 372 domain. Simulations were designed to prove that the demographic model can be confidently selec-373 ted and its parameter values reliably inferred. Although the framework is generic, it was tuned to fit 374 the annual spread of the poplar rust fungus *M. larici-populina* along the Durance River valley. This 375 valley channels every year the spread of an epidemic along a one-dimensional corridor of nearly 376 200 km (Xhaard et al., 2012; Becheler et al., 2016). The monitoring we performed enables to build 377 a comprehensive data set at a large spatial scale, which is mandatory to precisely infer the shape of 378 the tail of dispersal kernels (Ferrandino, 1996; Kuparinen et al., 2007). A widely used alternative to 379 the mechanistic-statistical approaches is to consider purely correlative approaches. However, the es-380 timated parameters defining the strength of the temporal and spatial dependencies (as estimated for 381 example using R-INLA package approach, Rue et al., 2009) will not allow to distinguish between 382 the different shapes of dispersal kernels, which was the main goal of our work. 383

5.1 Estimation of the dispersal kernel of the poplar rust

This study provides the first reliable estimation of the dispersal kernel of the poplar rust fungus. Dispersal kernels are firstly defined by their scale, which can be taken to correspond to the mean dispersal distance. The mean dispersal distance obtained from the best model is 2.01 km with a

95% confidence interval ranging from 1.76 to 2.27 km. A non-systematic literature review iden-388 tified only eight studies reporting dispersal kernels for plant pathogens that used data gathered in 389 experimental designs extending over regions bigger than 1 km (Fabre et al., 2021). The mean dis-390 persal distances of the four fungal pathosystems listed by these authors are 213 m for the ascospores 391 of Mycosphaerella fijiensis (Rieux et al., 2014), 490 m for the ascospores of Leptosphaeria macu-392 lans (Bousset et al., 2015), 860 m for *Podosphaera plantaginis* (Soubeyrand et al., 2009a) and from 393 1380 to 2560 m for *Hymenoscyphus fraxineus* (Grosdidier et al., 2018). Our estimates for poplar 394 rust are in the same range as the one obtained at regional scale for *Hymenoscyphus fraxineus*, the 395 causal agent of Chalara ash dieback (Grosdidier et al., 2018). 396

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Dispersal kernels can be further defined by their shape. We show that the spread of poplar 398 rust is best described by a fat-tailed Exponential-power kernel. The thin-tailed kernels considered 399 (Gaussian and exponential kernels) were clearly rejected by model selection. These results are in 400 accordance with the high dispersal ability and the long-distance dispersal events evidenced in this 401 species by population genetics analyses (Barrès et al., 2008; Becheler et al., 2016). Rust fungi are 402 well-known to be wind dispersed over long distances (Brown and Hovmøller, 2002; Aylor, 2003). 403 Recently, Severns et al. (2019) gathered experimental and simulation evidence that supports that 404 wheat stripe rust spread supports theoretical scaling relationships from power law properties, an-405 other family of fat-tail dispersal kernel. In fact, many aerially dispersed pathogens are likely to 406 display frequent long-distance flights as soon as their propagules (spores, insect vectors) escape 407 from plant canopy into turbulent air layer (Ferrandino, 1993; Pan et al., 2010). Accordingly, four 408 of these eight studies listed by Fabre et al. (2021) lent support to fat-tailed kernels, including plant 409 pathogens as diverse as viruses, fungi, and oomycetes. 410

412 5.2 Effect of fat-tailed dispersal kernels on eco-evolutionary dynamics

The dynamics produced by the mechanistic integro-differencial models we use strongly depends 413 on the tail of the dispersal kernel. Namely, when the equation is homogeneous (*i.e.* when the 414 model parameters do not vary in space, leading to r(x) = r, it is well known that for any thin-tailed 415 dispersal kernel J such that $\int_{\mathbb{R}} J(z) e^{\lambda |z|} dz < +\infty$ for some $\lambda > 0$, the dynamics of u(t,x) is well 416 explained using a particular solution called travelling wave. In this case, the invading front described 417 by the solution u(t,x) moves at a constant speed (Aronson and Weinberger, 1978). By contrast, for a 418 fat-tailed kernel, these particular solutions do not exist anymore, and the dynamic of u(t,x) describes 419 an accelerated invasion process (Medlock and Kot, 2003; Garnier, 2011; Bouin et al., 2018). Here, 420 we show that the dynamics of the poplar rust is better described as an accelerated invasion process 421 rather than a front moving at a constant speed. Such accelerating wave at the epidemic front has 422 been identified for several fungal plant pathogens dispersed by wind, including Puccinia striiformis 423 and *Phytophthora infestans* the wheat stripe rust and the potato late blight, respectively (Mundt 424 et al., 2009). However, it should be stated that fat-tailed kernels are not always associated with 425 accelerated invasion processes. Indeed, fat-tailed kernels can be further distinguished depending on 426 whether they are regularly varying (e.g. power law kernels) or rapidly varying (e.g. Exponential-427 power kernels) (Klein et al., 2006). Mathematically, it implies that power law kernels decrease 428 even more slowly than any Exponential-power function. Biologically, fat-tailed Exponential-power 429 kernels display rarer long-distance dispersal events than power law kernels. On the theoretical 430 side, the kernel's properties subtly interact with demographic mechanisms such as Allee effects 431

to possibly cancel the acceleration of invasion. With weak Allee effects (*i.e.* the growth rate is density dependent but still positive), no acceleration occurs with rapidly varying kernels whereas an acceleration could be observed for some regularly varying kernels, depending on the strength of the density dependence (Alfaro and Coville, 2017; Bouin et al., 2021). For strong Allee effects (*i.e.* a negative growth rate at low density), no acceleration can be observed for all possible kernels (Chen, 1997). On the applied side, whether or not the epidemic wave is accelerating sharply impacts the control strategies of plant pathogens (Filipe et al., 2012; Ojiambo et al., 2015; Fabre et al., 2021).

5.3 Confidence in the inference of the dispersal process

The inference framework we developed is reasonably efficient in estimating the dispersal process with frequent long-distance dispersal events as generated by Exponential-power dispersal kernels. The numerical experiments clearly show that the lower the exponent parameter τ of the Exponentialpower kernel, the higher the confidence in its selection.

Conversely, the identification of the dispersal process is less accurate with the Gaussian kernelthin-tail kernels. The requirement for improving the capacity to distinguish between thin-tail kernels may lie in the sampling scheme. Here, our sampling sites are regularly spaced, over a large sampling domain of 200 km, which is better suited to monitor long-distance dispersal (Kuparinen et al., 2007). Sampling schemes with more frequent data in both time and space (or nested spatial sampling) might improve kernel identification. Its correct identification requires densifying the sampling.

We clearly observed that integro-differential models with Gaussian dispersal kernel on the one hand and reaction-diffusion equation on the other hand are well identified with our estimation pro-

cedure when the time and space sampling is dense enough. This result may at first appear strik-453 ing as a common belief tends to consider that diffusion amounts to a Gaussian dispersal kernel. 454 However, these two models represent different movement processes (Othmer et al., 1988). In ad-455 dition, classical macroscopic diffusion, which is mainly based on Brownian motion (Othmer et al., 456 1988), often ignores the inherent variability among individuals' capacity of movements and as a 457 consequence does not accurately describe the dispersal of a heterogeneous populationat the popula-458 tion scale (Hapca et al., 2009). While it is reasonable to assume that a single individual disperses via 459 Brownian motion, this assumption hardly extends to all individuals in the population. Accordingly, 460 we believe that integro-differential models are better suited to take into account inter-individual 461 behaviour as the dispersal kernel explicitly models the redistribution of individuals. 462

463 5.4 Robustness and portability of the method

A strength of the approach proposed is the detailed description of the observation laws in the stat-464 istical model. The derivation of their probability density functions allows to obtain an analytical 465 expression of the likelihood function. Model inference was however not straightforward due to 466 local optimum issues. In order to achieve satisfying computational efficiency, we developed an *ad* 467 hoc hybrid strategy initiated from 20 initial values and combining the two classical Nelder-Mead 468 and Nlminb optimisation algorithms. However, the framework of hierarchical statistical models 469 (Cressie et al., 2009), whose inference is often facilitated by Bayesian approaches, could likely be 470 mobilised to improve model fit. In particular, although the coverage rate of the tree sampling was 471 correct, it could be further improved by relaxing some hypotheses. The orange-coloured uredinia 472 being easily seen on green leaves, we assumed that the persons in charge of the sampling perfectly 473

detect the disease as soon as a single uredinia is present on a leaf. However, even in this context, 474 observation errors are likely present in our dataset as in any large spatio-temporal study. The latent 475 variables used in hierarchical models are best suited to handle the fact that a tree observed to be 476 healthy can actually be infected. False detection of infection could also be taken into account. This 477 could make sense as a sister species, M. alli-populina, not easily discernible from M. larici-populina 478 in the field, can also infect poplar leaves. This species can predominate locally in the downstream 479 part of the Durance River valley. This could have led to over-estimate the disease severity at some 480 locations. Yet, all infected leaves from twigs were collected and minutely inspected in the lab under 481 a Stereo Microscope (25 magnification) to check for species identification. 482

More generally, the statistical part of the mechanistic-statistical approaches developed could be 483 transposed to a wide range of organisms and sampling types. Sharing the sampling effort between 484 raw and refined samples improves the estimations. The two distinct types of sampling (sampling of 485 random leaves in trees, and of leaves grouped within twigs) apply to a wide range of species, which 486 local distribution is aggregated into patches randomly scattered across a study site. Any biological 487 system study with two such distinct sampling types (as described in Figure 1) would fit the proposed 488 statistical model. , all the more that o One can for example scale up the sampling by considering 480 the plant (instead of the leaf) as the basic unit. Moreover, the framework naturally copes with the 490 diversity of sampling schemes on the ground such as the absence of one sample type for all or part 491 of the sampled sites and dates. Finally, we used the first sampling date to estimate independently 492 the initial population densities u(0,x) that were then fixed among all simulated epidemics. Future 493 works could as well jointly estimate u(0,x) as part of θ . 494

The mechanistic part of the model could also handle a wider diversity of hypotheses. First, the model can be adapted to take into account a wider range of dispersal kernels, such as regularly

varying kernels (see above). Second, the model can also easily be adapted to take into account 497 parameter heterogeneity in time and space discontinuities of its parameters. TypicallySimilarly, 498 one may easily assume that the growth rate depends on daily meteorological variables. Finally, we 499 ignore the influence of the local fluctuations of the population size on the macro-scale density of 500 the population when stochastic fluctuations can influence epidemic dynamics (Rohani et al., 2002). 501 Here, we neglect this influence by considering that the average population size is relevant when 502 habitat units are aggregated. Relaxing this hypothesis could be achieved by incorporating stochastic 503 integro-differential equations. The inference of such models is currently a front of research. 504

505 **5.5 Future directions**

As biological invasions are regularly observed retrospectively, carrying out spatio-temporal monit-506 oring is often highly difficult, when possible. A small number of longitudinal temporal data makes 507 model inference very difficult, in particular for its propensity to properly disentangle the effect 508 of growth rate and dispersal. Incorporating genetic data into the framework proposed here is a 509 challenge that must be met to get around this problem. Indeed, colonisation and demographic ef-510 fects such as Allee effect generate their own specific genetic signatures (Dennis, 1989; Lewis and 511 Kareiva, 1993; Miller et al., 2020). Similarly, genetic data could help to identify the dispersal kernel 512 underlying the invasion process. Indeed, as the population will exhibit an erosion of its neutral di-513 versity with a thin-tailed kernel (Edmonds et al., 2004; Hallatschek et al., 2007). Conversely, genetic 514 diversity can be preserved all along the invasion front with a fat-tailed kernel, because of the long-515 distance dispersal of individuals from the back of the front, where genetic diversity is conserved 516 (Fayard et al., 2009; Bonnefon et al., 2014). 517

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Author contributions

⁵³² Constance Xhaard, Pascal Frey, and Fabien Halkett supervised the disease monitoring. Jérôme
⁵³³ Coville, Frédéric Fabre, Fabien Halkett, and Samuel Soubeyrand conceived and designed the study.
⁵³⁴ Jérôme Coville provided a mathematical expertise on modelling long-range dispersal as well as
⁵³⁵ codes of simulation for the mechanistic models. Samuel Soubeyrand established the observation
⁵³⁶ laws. Frédéric Fabre supervised the statistical analyses. Constance Xhaard and Fabien Halkett did
⁵³⁷ preliminary analyses. Méline Saubin updated the code and did the statistical analyses. Jérôme
⁵³⁸ Coville, Frédéric Fabre, Fabien Halkett, Méline Saubin, and Samuel Soubeyrand contributed to the

⁵³⁹ writing of the manuscript. All authors read and approved the manuscript.

540 **Competing interests**

The authors declare that they comply with the PCI rule of having no financial conflicts of interest in
 relation to the content of the article.

543 Data accessibility

R and C++ scripts for model simulations and statistical analyses, as well as count data for the biological application, are available on a public Zenodo repository (DOI:10.5281/zenodo.7906841),
extracted from a public GitLab repository: https://gitlab.com/saubin.meline/mechanistic-statisticalmodel.

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734 **Tables**

Table 1: Model practical identifiability. Numbers indicate the coefficient of correlation between the true and estimated parameter values for the four models corresponding to the four dispersal processes (J_{Exp} , J_{Gauss} , J_{ExpP} and R.D.) from 100 replicates. High correlation between true and estimated parameters indicates a good practical identifiability. The standard deviations of the coefficients of correlation, estimated with a bootstrapping method, are indicated in brackets. Correlation coefficients and standard deviations are given for natural scale for parameter ω , and logarithm scales for parameters r_{dw} , γ , λ , τ , and σ^2 .

Parameter	Description	J _{Exp}	J _{Gauss}	J _{ExpP}	R.D.
r _{dw}	Growth rate downstream	$0.99(1.10^{-3})$	$0.99(1.10^{-3})$	$0.99(2.10^{-3})$	$0.93(6.10^{-2})$
ω	Growth rate modulator	$0.99(< 10^{-3})$	$0.99(< 10^{-3})$	$0.99(1.10^{-3})$	$0.99(1.10^{-3})$
λ	Mean dispersal distance	$0.99(5.10^{-3})$	$0.98(8.10^{-3})$	$0.99(1.10^{-3})$	$0.95(2.10^{-2})$
au	Kernel exponent	NA	NA	$0.95(1.10^{-2})$	NA
γ	Tree perception	$0.85(4.10^{-2})$	$0.83(4.10^{-2})$	$0.83(5.10^{-2})$	$0.84(3.10^{-2})$
σ^2	Variance in leaf suitability	$0.99(1.10^{-3})$	$0.99 (< 10^{-3})$	$0.99 (< 10^{-3})$	$0.99(< 10^{-3})$

Table 2: Efficiency of model selection using Akaike information criterion (AIC). The four first columns indicate the proportion of cases, among 50 replicates, where each tested model was selected using AIC, given that data sets were generated under a particular model (*i.e.* true model). Column $dAIC_{true}$ (*resp.* $dAIC_{wrong}$) indicates the mean difference between the AIC of the model selected when the model selected is the true one (*resp.* when the model selected is not the true model) and the second best model (*resp.* being the true model or not).

		Selected Model				
	J_{Exp}	J_{Gauss}	J_{ExpP}	R.D.	<i>d</i> AIC _{true}	<i>d</i> AIC _{wrong}
True Model	-		-			-
J _{Exp}	0.62	0.22	0.06	0.10	0.84	0.74
$J_{\rm Gauss}$	0.34	0.26	0.00	0.40	1.08	0.55
J_{ExpP}	0.20	0.04	0.70	0.06	89.62	0.38
R.D.	0.18	0.24	0.00	0.58	0.71	0.23

Table 3: Model selection for the epidemic of poplar rust along the Durance River valley. The Akaike information criteria are indicated for each model fitted to the real data set. The model best supported by the data is indicated in bold. AIC_{median} and AIC_{sd} represent the median and standard deviation among the AIC obtained from five initial conditionsparameter values.

Dispersal	AIC _{median}	AIC _{sd}
$J_{\rm Exp}$	5476	0.68
$J_{\rm Gauss}$	5510	1.03
J_{ExpP}	5179	1.32
R.D.	6303	655.60

Table 4: Statistical summary of the inference of the parameters for the model best supported by the real data set J_{ExpP} . We used the vector of parameters θ giving the lowest AIC value in the previous model selection procedure as initial conditionsparameter values of the R function mle2, to obtain maximum likelihood estimates of the vector of parameters $\hat{\theta}$ and of its matrix of variancecovariance $\hat{\Sigma}$. Summary statistics were derived from 1,000 random draws from the multivariate normal distribution with parameters $\hat{\theta}$ and $\hat{\Sigma}$ (see Appendix S4.3). Columns Estimate, q = 2.5%and q = 97.5% represent the estimated value of each parameter and the quantiles 2.5% and 97.5%, respectively.

Parameter	Description	q - 2.5%	Estimate	q-97.5%
r _{up}	Growth rate upstream	0.0312	0.0844	0.191
$r_{\rm dw}$	Growth rate downstream	0.0114	0.0203	0.0289
λ	Mean dispersal distance	1.76	2.01	2.03
au	Kernel exponent	0.220	0.242	0.263
γ	Tree perception	3.21	5.21	6.77
σ^2	Variance in leaf suitability	0.987	1.09	1.21

735 Figures

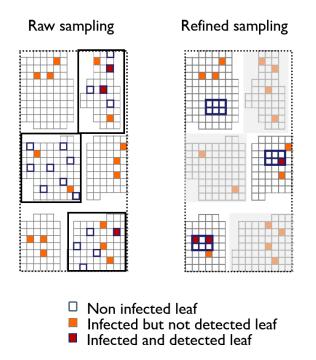


Figure 1: Two-stage sampling on a sampling site, with one systematic raw sampling (on the left) and one optional refined sampling (on the right). Each square represent a leaf, which can be non infected, infected but not detected, or infected and detected. Each group of spatially grouped leaves represent a tree. Each tree already observed during the raw sampling are not available (and thus represented in grey) for the refined sampling, where connected leaves in twigs are observed.

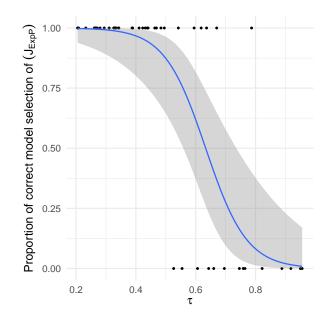


Figure 2: Logistic regression of the proportion of correct model selection of dispersal J_{ExpP} as a function of τ . Dots represent the values of τ used for the 50 replicates of simulated dispersal model J_{ExpP} , and the estimated dispersal model (1 for a correct model selection of J_{ExpP} and 0 for a wrong model selection). The blue line corresponds to the predicted value of the proportion of correct model selection J_{ExpP} as a function of τ , and the grey area corresponds to the confidence envelope at 95%.

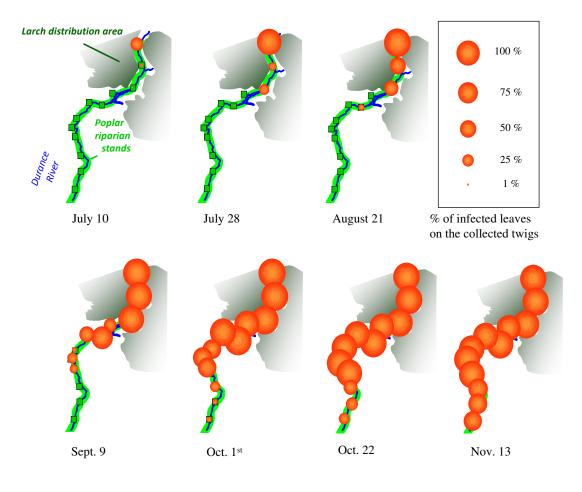


Figure 3: Poplar rust epidemic wave along the Durance River valley in 2008. The larch distribution area is represented in dark green, wild poplar riparian stands in pale green. The 12 study sites are represented by the green squares. Orange dots describe the evolution of the poplar rust epidemic through time (7 rounds of disease notation) and space (12 studied sites). Dot size is proportional to rust disease incidence assessed from the refined sampling.

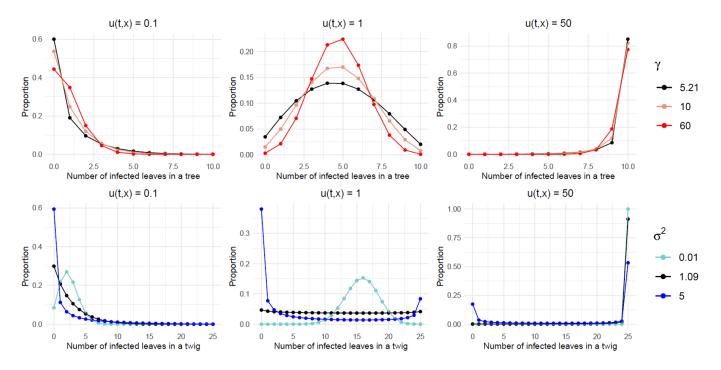


Figure 4: Distributions of the number of infected leaves in a tree and of the number of infected leaves in a twig, for increasing densities of infection u(t,x), and contrasted levels of environmental heterogeneity σ^2 and γ . The number of infected leaves in a tree follows a Beta-Binomial distribution (Eq. (S12)) with $\sigma^2 = 1.09$. Its density is plotted for three tree perceptions γ : 5.21 (estimated value on the real data set), 10 (intermediate value) and 60 for which the Beta-Binomial distribution is approaching a Binomial distribution. The number of infected leaves in a twig follows a Gamma-Binomial distribution (Eq. (S18)). Its density is plotted for three leaf suitabilities σ^2 : 1.09 (estimated value on the real data set), 5 (a higher value) and 0.01 a value lowering variability in leaf suitability between twigs (when σ^2 tends to 0, all twigs share the same leaf suitability).

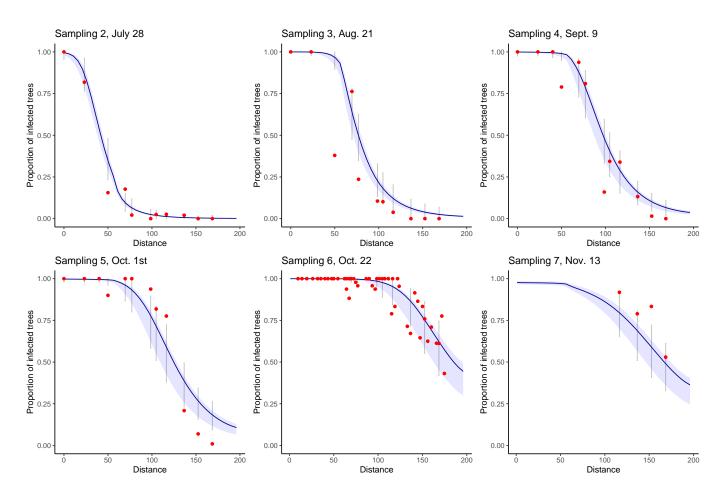


Figure 5: Model check under the selected dispersal model J_{ExpP} : Coverage rates for the raw sampling. Each sampling date is represented on a separate graph. Sampling 1 is not represented because it corresponds to the initial condition of the epidemics for all simulations. Blue areas correspond to the pointwise 95% confidence envelopes for the proportion of infected trees, grey intervals correspond to the 95% prediction intervals at each site, *i.e.* taking into account the observation laws given the proportion of infected trees. Red points correspond to the observed data. Only four observations are available for sampling 7 because at this date (November 13) the leaves had already fallen from the trees located upstream the valley. The total coverage rate over all sampling dates is 0.75.

Appendix to: A mechanistic-statistical approach to infer dispersal and demography from invasion dynamics, applied to a plant pathogen

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S1 Numerical scheme

We use an implicit Euler scheme combined with a finite difference scheme (see Allaire, 2005 for details) to compute the solution u(t,x) of the reaction-diffusion equation over $[-R,R] \times [0,T]$, with $2 \times R$ the length of the modelled environment, and *T* the duration of the modelled process. For the integro-differential equation, we use an explicit Euler scheme. More precisely, we perform a standard explicit Euler time discretisation of the equation:

$$\frac{\partial u}{\partial t}(t,x) \approx \frac{u(t+\delta,x) - u(t,x)}{\delta}$$
(S1)

that leads to:

$$u(t_{n+1},x) = u(t_n,x) + \delta\left(\int_{-R}^{R} J(x-y)[u(t_n,y) - u(t_n,x)]\,dy\right) + \delta r(x)u(t_n,x)\left(1 - \frac{u(t_n,x)}{K}\right)$$
(S2)

where $\{t_n = n\delta = nT/N : n = 0, ..., N\}$ is a series of increasing times separated by $\delta = T/N > 0$, and *N* is the number of time steps in the series. For the space discretisation, we define a regular grid $\{x_i = -R + i\varepsilon = -R + 2Ri/I : i = 0, ..., I\}$ with I + 1 points separated by $\varepsilon = 2R/I > 0$. We make the following approximation for all *x* in [-R, R]:

$$u(t_n, x) \approx \sum_{i=0}^{l} u(t_n, x_i) \mathbb{1}_{[x_i, x_i + \varepsilon)}(x)$$
(S3)

where $x \mapsto \mathbb{1}_{[x_i, x_i + \varepsilon)}(x)$ is the indicator function that gives 1 if $x \in [x_i, x_i + \varepsilon)$, 0 otherwise. Based on this approximation, we only need to compute u(t, x) at points $x_i, i = 0, ..., I$. Plugging Approxima-

tion (S3) in the integral of Equation (S2) computed for $x = x_i$ yields:

$$\int_{-R}^{R} J(x_{i}-y)[u(t_{n},y)-u(t_{n},x_{i})] dy$$

$$\approx \int_{-R}^{R} J(x_{i}-y) \left[\left(\sum_{j=0}^{I} u(t_{n},x_{j})\mathbb{1}_{[x_{j},x_{j}+\varepsilon)}(y) \right) - u(t_{n},x_{i}) \right] dy$$

$$= \left(\sum_{j=0}^{I} u(t_{n},x_{j}) \int_{-R}^{R} J(x_{i}-y)\mathbb{1}_{[x_{j},x_{j}+\varepsilon]}(y) dy \right) - \left(u(t_{n},x_{i}) \int_{-R}^{R} J(x_{i}-y) dy \right)$$

$$\approx \varepsilon \left(\sum_{j=0}^{I} u(t_{n},x_{j}) J(x_{i}-x_{j}) \right) - \varepsilon u(t_{n},x_{i}) \sum_{j=0}^{I} J(x_{i}-x_{j})$$
(S4)

Let us define the matrix $\mathbf{J}^{in} := (J(x_i - x_j))_{0 \le i,j \le I}$ whose element (i, j) is $\mathbf{J}_{ij}^{in} = J(x_i - x_j)$. We get the following numerical scheme:

$$u(t_{n+1}, x_i) = u(t_n, x_i) + \delta \varepsilon \left[\sum_{j=0}^{I} \mathbf{J}_{ij}^{in} u(t_n, x_j) - u(t_n, x_i) \left(\sum_{j=0}^{I} \mathbf{J}_{ij}^{in} \right) \right] + \delta r(x_i) u(t_n, x_i) \left[1 - \frac{u(t_n, x_i)}{K} \right]$$
(S5)

By defining the vectors $\mathbf{U}(t_n) = (u(t_n, x_i))_{0 \le i \le I}$, $\mathbf{R} = (r(x_i))_{0 \le i \le I}$ and $\mathbf{1} = (1)_{0 \le i \le I}$, we have to solve the linear system:

$$\mathbf{U}(t_{n+1}) = \mathbf{U}(t_n) + \delta \varepsilon \left\{ \mathbf{J}^{in} \mathbf{U}(t_n) - \mathbf{U}(t_n) \cdot (\mathbf{J}^{in} \mathbf{1}) \right\} + \delta \left\{ \mathbf{R} \cdot \mathbf{U}(t_n) \right\} \cdot \left\{ \left(1 - \frac{\mathbf{U}(t_n)}{K} \right) \right\}$$
(S6)

where \cdot is the element-wise multiplication operator.

S2 Distributions of the population measurements

S2.1 Term designations for the sampling units

In our biological application, a poplar leaf represents a habitat unit, a twig represents a group of habitat units, and a tree represents a habitat bloc. For clarity, we refer to leaves, twigs and trees in the following explanations. We call a sampling site a surveyed area along the valley, containing several hundreds of trees. Further adaptations of this model to other sampling units would only require adapting this initial vocabulary (Figure 1).

S2.2 Raw sampling

In the raw sampling, trees represent the sampling units, and B_{st} trees are observed in site *s* at time *t*. For each tree $b \in \{1, ..., B_{st}\}$, we measure the presence/absence of the pathogen by monitoring an equivalent number of *M* leaves within *b* (see Appendix S3 below for the determination of *M*). A tree is infected if at least one pathogen lesion has been detected, in at least one leaf of the tree. The observation in site *s* at time *t* is the number Y_{st} of infected trees.

Now, let us derive the probabilistic law of the presence/absence of the pathogen in any tree b observed in site s at time t. In this paragraph, subscripts s, t, and b are generally omitted to avoid cumbersome notation. We first remind that the numbers of pathogen lesions $N_i(t)$ in the leaf $i \in \{1, ..., M\}$ observed in tree b, given $R_i(t)$ and $u(t, x_s)$, are independent and Poisson distributed (see Eq. (2) in the main text):

$$N_i(t) \mid u(t, x_s), R_i(t) \sim \underset{\text{indep.}}{\sim} \text{Poisson}(u(t, x_s) R_i(t))$$
(S7)

In the raw sampling, *M* leaves are sampled at different locations on the tree (*i.e.* they belong to different groups, referred to as twigs), but further information about the twigs is not known. Thus, in the following, we take into account the twig structure without exploiting twig information. The leaves of a given twig *g* on tree *b* share at time *t* the same suitability $\mathcal{R}_g(t)$, which is unobserved and Gamma distributed like in Eq. (3) in the main text (for all leaves *i* in twig *g*, $R_i(t) = \mathcal{R}_g(t)$). Given the suitabilities { $\mathcal{R}_g(t) : g = 1, ..., G$ } of twigs which compose tree *b* and given the absence of data about the twigs, $R_i(t)$ ($i \in \{1, ..., M\}$) are independent and identically distributed under the discrete empirical probability distribution:

$$\hat{F}_G(r) = \frac{1}{G} \sum_{g=1}^G \mathbb{1}(r \le \mathcal{R}_g(t))$$
(S8)

where $\mathbb{1}(\cdot)$ is the indicator function. Therefore, $N_i(t)$ $(i \in \{1, ..., M\})$ given $\{\mathcal{R}_g(t) : g = 1, ..., G\}$ and $u(t, x_s)$ are independent and their probability distribution is, using Eqs. (S7)–(S8):

$$P[N_i(t) = n \mid u(t, x_s), \{\mathcal{R}_g(t) : g = 1, \dots, G\}] = \frac{1}{G} \sum_{g=1}^G \exp(-u(t, x_s)\mathcal{R}_g(t)) \frac{(-u(t, x_s)\mathcal{R}_g(t))^n}{n!}$$
(S9)

The suitability $\mathcal{R}_g(t)$ being Gamma distributed with shape and scale parameters σ^{-2} and σ^2 , respectively, the right-hand-side of Eq. (S9) is a Monte Carlo approximation of the integral:

$$\int_{\mathbb{R}_{+}} \exp(-u(t,x_{s})r) \frac{(-u(t,x_{s})r)^{n}}{n!} \frac{1}{(\sigma^{2})^{\sigma^{-2}}\Gamma(\sigma^{-2})} r^{\sigma^{-2}-1} e^{-r/\sigma^{2}} dr$$

$$= \frac{\Gamma(n+\sigma^{-2})}{(n!)\Gamma(\sigma^{-2})} \left(1 - \frac{u(t,x_{s})}{u(t,x_{s}) + \sigma^{-2}}\right)^{\sigma^{-2}} \left(\frac{u(t,x_{s})}{u(t,x_{s}) + \sigma^{-2}}\right)^{n}$$
(S10)

which coincides with the probability distribution of the Negative–Binomial law (*i.e.* the Gamma-Poisson mixture distribution) given by Eq. (4) in the main text. The larger G, the more precise the

approximation. Consequently, $N_i(t)$ ($i \in \{1, ..., M\}$) given $u(t, x_s)$ are asymptotically independent and distributed under the Negative–Binomial distribution given by Eq. (4) in the main text. Based on this approximation, the infections of leaves from tree *b* in site *s* at time *t* are asymptotically independent and distributed under Bernoulli distributions with success probability:

$$p_{st}^{\text{leaf}} = P(N_i(t) > 0 \mid u(t, x_s))$$

= 1 - P(N_i(t) = 0 \mid u(t, x_s))
= 1 - (1 + u(t, x_s))^{-1/\sigma^2} (S11)

The people who carried out the sampling observed a number M of leaves on tree b. Due to the particular configuration of the foliage of each tree, we assumed that the number Y_{stb}^{leaf} of infected leaves among the M leaves observed in tree b is approximately distributed under a Beta-Binomial distribution with mean Mp_{st}^{leaf} and tree perception parameter γ :

$$Y_{stb}^{\text{leaf}} \mid u(t, x_s) \sim_{approx.} \text{Beta-Binomial}(M, p_{st}^{\text{leaf}}, \gamma)$$
(S12)

Accordingly, the probability, as *perceived* by people in charge of the sampling, of leaf infection on the set of *M* leaves observed on a given tree, is distributed according to a Beta distribution. The Beta distribution is centred around the true probability of leaf infection p_{st}^{leaf} and allows *perceived* probability to vary from tree to tree depending on the tree perception parameter γ . It follows that the infection of tree *b* is approximately distributed under the Bernoulli distribution with success probability:

$$p_{st}^{\text{tree}} = P(Y_{stb}^{\text{leaf}} > 0 \mid u(t, x_s))$$

$$= 1 - P(Y_{stb}^{\text{leaf}} = 0 \mid u(t, x_s))$$

$$= 1 - \frac{\text{Beta}[\gamma p_{st}^{\text{leaf}}, M + \gamma(1 - p_{st}^{\text{leaf}})]}{\text{Beta}[\gamma p_{st}^{\text{leaf}}, \gamma(1 - p_{st}^{\text{leaf}})]}$$
(S13)

where p_{st}^{leaf} is given by S11 and Beta represents the beta function. It follows that the probability distribution functions of the number Y_{st}^{tree} of infected trees infected among the B_{st} trees observed satisfy, for all sampling sites *s* and sampling times *t*:

$$f_{st}^{\text{raw}}(y) = P[Y_{st}^{\text{tree}} = y \mid u(t, x_s)]$$

= $f_{\text{Binomial}(B_{st}, p_{st}^{\text{tree}})}(y)$ (S14)

where $f_{Binomial}$ is the density of the Binomial distribution.

S2.3 Refined sampling

In the refined sampling, G_{st} twigs (*i.e.* groups of spatially connected leaves) are sampled in site s at time t. Here, the twig information (the number of twigs and the distribution of leaves on twigs) are known but the suitability $\mathcal{R}_g(t)$ of leaves in a twig g remains unobserved. The numbers of pathogen lesions $N_i(t)$ in the observed leaves $i \in \{1, \ldots, M_{stg}\}$ of twig g given $\mathcal{R}_g(t)$ and $u(t, x_s)$ are independent and Poisson distributed:

$$N_i(t) \mid u(t, x_s), \mathcal{R}_g(t) \underset{\text{indep.}}{\sim} \text{Poisson}(u(t, x_s) \mathcal{R}_g(t))$$
(S15)

Then, the numbers of infected leaves Y_{stg}^{leaf} (*i.e.* leaves with at least one pathogen lesion) given $\mathcal{R}_g(t)$ and $u(t, x_s)$ are independent and distributed under the following Binomial distributions:

$$Y_{stg}^{\text{leaf}} \mid u(t, x_s), \mathcal{R}_g(t) \sim_{\text{indep.}} \text{Binomial}(M_{stg}, 1 - e^{-u(t, x_s)\mathcal{R}_g(t)})$$
(S16)

In addition,

$$u(t,x_s)\mathcal{R}_g(t) \mid u(t,x_s) \underset{\text{indep.}}{\sim} \operatorname{Gamma}(\sigma^{-2},u(t,x_s)\sigma^2)$$
 (S17)

Using Eqs. (S15)–(S17), Y_{stg}^{leaf} given $u(t, x_s)$ are independent and follow Gamma-Binomial mixture distributions:

$$f_{st}^{\text{ref}}(y) = P[Y_{stg}^{\text{leaf}} = y \mid u(t, x_s)]$$

$$= \int_0^\infty f_{\text{Binomial}(M_{stg}, 1 - e^{-z})}(y) f_{\text{Gamma}(\sigma^{-2}, u(t, x_s)\sigma^2)}(z) dz$$
(S18)

where f_{Gamma} is the density of the Gamma distribution. Note that this Gamma-Binomial mixture distribution is an over-dispersed Binomial distribution like the Beta-Binomial distribution.

S3 Estimation of the number of leaves efficiently observed during tree scans

A problem inherent to the raw sampling design is that we do not know the number of leaves observed during the scan of the trees, contrary to the twig data for which we counted both the number of infected leaves and the total number of leaves carried by each observed twig. In other words, an inspected tree is a set of leaves of unknown size.

We assumes in Eq. (S12) that the number Y_{stb}^{leaf} of infected leaves among the *M* leaves observed in tree *b* is approximately distributed under a Beta-Binomial distribution with mean Mp_{st}^{leaf} and tree perception parameter γ . Parameter γ is however an unknown parameter. To overcome this parameter when calculating the average number of leaves observed per tree, we use the fact that on average the number of infected leaves is the same with a binomial distribution:

$$Y_{stb}^{\text{leat}} \mid u(t, x_s) \sim_{approx.} \text{Binomial}(M, p_{st}^{\text{leat}})$$
 (S19)

From this distribution, we obtain at each site *s* and date *t* the probability p_{st}^{tree} that a tree is infected as a function of both the probability p_{st}^{leaf} that a leaf is infected and the number *M* of leaves

observed on a tree:

$$p_{st}^{\text{tree}} = P(Y_{stb}^{\text{leaf}} > 0 \mid u(t, x_s))$$

= 1 - P(Y_{stb}^{\text{leaf}} = 0 \mid u(t, x_s))
= 1 - (1 - p_{st}^{\text{leaf}})^M (S20)

Thus, the number of leaves on a tree satisfies:

$$M = \frac{\log(1 - p_{st}^{\text{tree}})}{\log(1 - p_{st}^{\text{leaf}})}$$
(S21)

Let us use as approximations of p_{st}^{tree} the observed proportions q_{st}^{tree} of infected trees at sites *s* and dates *t*, and as approximations of p_{st}^{leaf} the observed proportions q_{st}^{leaf} of infected leaves (calculated from twig data). Then, an estimate $\hat{\lambda}_M$ of the mean number of leaves λ_M by tree is given by:

$$\hat{\lambda}_{M} = \operatorname{round}\left(\frac{1}{N}\sum_{i=1}^{N}\frac{\log(1-q_{st}^{\text{tree}})}{\log(1-q_{st}^{\text{leaf}})}\right)$$
(S22)

with *N* the number of pairs (s,t) (*i.e.* sampling sites and dates) displaying both tree and twig data. Proportions of infection $q_{st}^{\text{tree}} = 1$ and $q_{st}^{\text{leaf}} = 1$ where approximated to $1 - 10^{-16}$ for numerical considerations. This procedure led to $\hat{\lambda}_M = 10$. This value may appear low. However, λ_M does not correspond to the actual mean number of leaves carried by an entire young tree but amounts to the mean number of leaves effectively inspected during tree scan, *i.e.* those observed as minutely as for the twig data in a limited time (see Eq. (S13)). It is important to note that for each tree the tree scan stops when an infected leaf is observed, or after 30 s of inspection. Therefore, the number of inspected leaves per tree can be very low in highly infected sites.

For the practical identifiability studies, we set $\lambda_M = 10$. For parameter inference on the real data set a different value of $(\hat{\lambda}_M)_t$ was estimated for each sampling date, from the observed proportions q_{st}^{tree} of infected trees and the observed proportions q_{st}^{leaf} of infected leaves at date *t* (Table S1).

Table S1: Estimated number of leaves effectively observed per tree for each sampling date t, $(\hat{\lambda}_M)_t$. The values of $(\hat{\lambda}_M)_t$ were used in the application on the real data set.

Date t	$(\hat{\lambda}_M)_t$
1	40
2	24
3	6
4	3
5	5
6	1

S4 Simulation details

Computations were performed with the R software environment (R Core Team, 2018). The initial vector of initial population densities u(0,x) for x over [-R,R] was estimated from the data of the first sampling date, by fitting a general model for analysis of dose-response data (package Drc on R, Ritz et al., 2015). This initial vector of initial population densities represented the initial condition of all simulations. We modelled N = 1500 time steps and I = 400 points in space. Because of the numerical scheme, with these parameters the reaction-diffusion dispersal model R.D. required an upper limit for parameter λ : we set $\lambda_{up} = 23$ for this model.

To fit our real case study, for all simulations we set R = 100 km, for a 200 km long river valley, and the epidemic was monitored over T = 150 days. We considered a shift in the environment topology at d = 0.31% of the valley, which corresponds to the delimitation observed in the Durance River valley with the Serre-Ponçon dam at 62 km downstream of the starting point of the epidemic. Therefore, for all simulations, the two growth rates r_{up} and r_{dw} apply to continuous segments of proportions d and 1 - d of the monitored space, respectively.

S4.1 Practical parameter identifiability

Simulations were performed as follows in three steps.

Step 1: Simulation of a realistic epidemic. Given a hypothetical dispersal model (J_{Exp} , J_{Gauss} , J_{ExpP} or R.D.), values in the parameter vector $\theta = (\theta_r, \theta_J, \gamma, \sigma^2)$ are independently and randomly drawn from dedicated distributions encompassing a large diversity of invading scenarios and specified in Table S1. We then simulate the corresponding epidemic along the 1D spatial domain [-R,R]. This epidemic is considered 'realistic' if a set of requirements on the observed proportion of infected

trees $P_{s,t}$ on the farther downstream site (s = R) is met:

- $P_{R,30} < 0.1$ (the proportion of infected trees after one month is lower than 10%);
- $P_{R,75} < 0.5$ (the proportion of infected trees after two and a half months is lower than 50%);
- $P_{R,150} > 0.1$ (the proportion of infected trees after five months is higher than 10%);
- $P_{R,150} < 0.8$ (the proportion of infected trees after five months is lower than 80%).

Step 1 is complete once a candidate vector θ leads to an epidemic satisfying the four conditions described above (*i.e.* the simulation of θ and the epidemic is repeated while the four conditions are not satisfied). Thereafter, the vector finally retained in Step 1 is denoted θ_{true} .

Table S1: Marginal distributions used to randomly sample the model parameters included in $\theta = (\theta_r, \theta_J, \gamma, \sigma^2)$ before checking the requirements detailed in Step 1, with $\theta_r = (r_{dw}, \omega)$ and $\theta_J = (\lambda)$ or $\theta_J = (\lambda, \tau)$ depending on the model.

Parameter	Distribution	Interval
$r_{\rm dw}$	Log-Uniform	[0.01, 0.5]
ω	Uniform	[-2, 3]
λ	Log-Uniform	[0.2,5]
au	Log-Uniform	[0.2,1]
γ	Log-Uniform	[2,20]
σ^2	Log-Uniform	[0.01, 15]

Step 2 : Simulation of the sampling process. We consider a sampling design similar to our real experiment with six sampling dates and 12 sampling locations regularly spread over 150 days and 200 km, respectively (R = 100 km). As for our real data, we increase the location density for the fifth date, with 45 locations instead of 12. For each date and location, the raw sampling consists in simulating the observed sanitary status of 10 leaves per tree from 100 trees, and the refined sampling consists in simulating the observed sanitary status of 25 spatially connected leaves from 20 twigs,

the simulations being performed given θ_{true} . The resulting data set is denoted \mathcal{D}_{true} .

Step 3 : Parameter estimation. We use the data \mathcal{D}_{true} to estimate the model parameters by minimizing the logarithm of the likelihood function $L(\theta)$. In our case, preliminary tests revealed that classical optimisation algorithms were not accurate enough to provide satisfactory rates of convergence due to local optimum problems. Thus, we adopt a hybrid strategy combining first a Nelder-Mead algorithm (improving global search ability) and then a Nlminb algorithm (for its high computational efficiency). Specifically, we proceed in three substeps described below, the crucial stage consisting in finding initial values that give a satisfactory rate of convergence.

Step 3.1 : Using Step 1, we generate 500 vectors θ_{init} . Note that this step was only performed once for all the estimations performed in this article. We provide in Figure S1 a comparison of the initial distribution of parameters as stated in Table S1, and of the distribution of parameters in the vector θ_{init} , *i.e.* leading to "realistic" epidemics.

Step 3.2 : The corresponding 500 likelihood values $L(\theta_{init})$ are calculated given \mathcal{D}_{true} . Then, the 20 vectors θ_{init} corresponding to the 20 largest likelihood values are used as initial values for 50 steps of a NELDER-MEAD optimisation routine (R function optim), resulting in 20 updated initial parameter vectors θ_{init2} depending on \mathcal{D}_{true} . The new initial vectors θ_{init2} that do not satisfy lower bounds θ_{low} and upper bounds θ_{up} are excluded. We used $\theta_{low} = (r_{dw} = 0.001, \omega = -7, \lambda = 0.02, \tau = 0.02, \gamma = 1.05, \sigma^2 = 10^{-7})$ and $\theta_{up} = (r_{dw} = 0.5, \omega = 3, \lambda = 10, \tau = 1, \gamma = 30, \sigma^2 = 20)$, with $\lambda = 23$ in θ_{up} instead of 10 for the R.D. model. The validity intervals defined by θ_{low} and θ_{up} encompass the intervals used to simulate θ (see Table S1). The likelihood values of the n_{init}

remaining vectors $L(\theta_{init2})$ are calculated (given \mathcal{D}_{true}) and ranked in descending order.

Step 3.3 : θ is then estimated using the NLMINB optimisation routine with lower and upper bounds θ_{low} and θ_{up} , respectively. The initial conditionsparameter values are set to the first vector θ_{init2} as ordered in the previous step. The estimated parameter values, say θ_{estim} , are accepted if the nlminb function in R delivered a successful convergence diagnostic (with tunning parameters rel.tol=5.10⁻⁵ and iter.max=3000). If not, the second vector θ_{init2} is used, and so on until reaching convergence or testing the n_{init} initial vector's values selected at step 3.2. In the latter case, a convergence failure is obtained. Overall, this algorithm allows to obtain high rates of convergence.

These three steps were reiterated until deriving the estimation of n = 100 realistic epidemics for each dispersal model. Checking for practical identifiability of parameters basically relies on plotting for each dispersal model the cloud of points between θ_{true} and θ_{estim} (Figures S2, S3, S4, S5) and computing the corresponding correlations. Among all simulations performed, the proportions of convergence were 0.91, 0.95, 0.93, and 0.90 for dispersal J_{Exp} , J_{Gauss} , J_{ExpP} , and R.D., respectively. A simulation converged when the convergence diagnostic of the algorithm indicated a convergence, and when all parameters were estimated inside intervals defined by θ_{low} and θ_{up} . In the small number of simulations where the value of λ_{estim} proposed by the optimisation algorithm was higher than 23 (which is the upper limit of our numerical scheme, Appendix S1), the simulation was still considered convergent with $\lambda_{estim} = 23$. This configuration can occur in particular when trying to fit dispersal R.D. on datasets simulated according to J_{ExpP} .

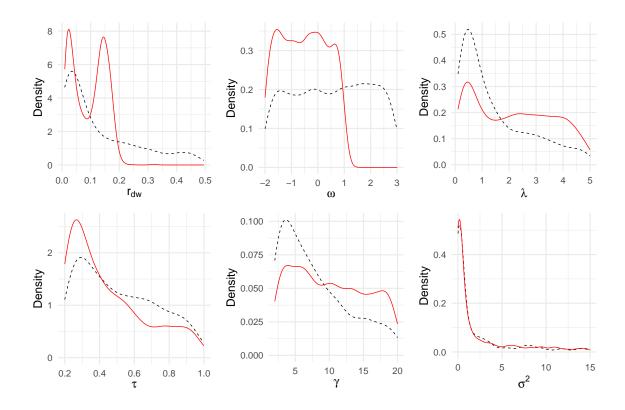


Figure S1: Distributions of parameters, before (in dotted black) and after (in red) retaining only parameters values leading to "realistic" epidemics. Dotted black distributions correspond to distributions given by Table S1. Red line distributions correspond to the distribution of parameters in θ_{init} . We represent here the distribution of "realistic" epidemics from the four hypothetical dispersal models (J_{Exp} , J_{Gauss} , J_{ExpP} and R.D.) for parameters r_{dw} , ω , γ and σ^2 , for J_{ExpP} for parameter τ , and for J_{Exp} , J_{Gauss} and J_{ExpP} for parameter λ .

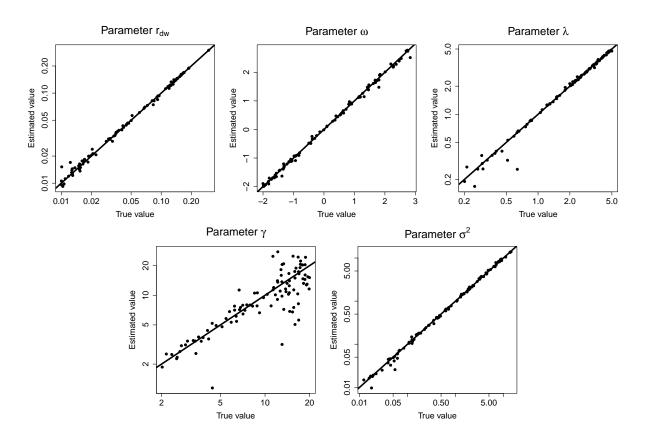


Figure S2: Practical parameter identifiability for the dispersal model J_{Exp} . Each point represents the parameter estimation ('Estimated' value) depending on the real parameter ('True' value). Each graph regroups the results of 100 replicates. Straight lines correspond to the first bisector.

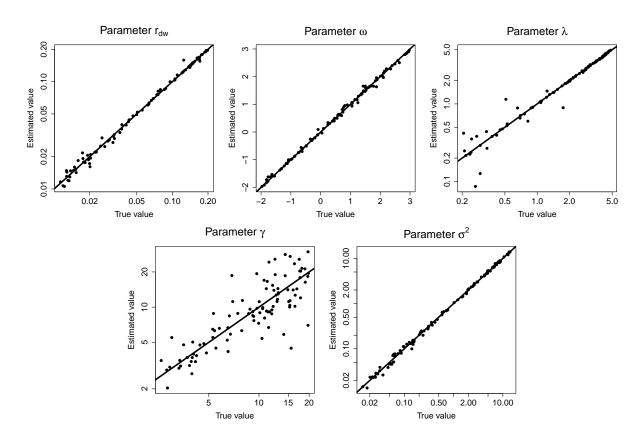


Figure S3: Practical parameter identifiability for the dispersal model J_{Gauss} . Each point represents the parameter estimation ('Estimated' value) depending on the real parameter ('True' value). Each graph regroups the results of 100 replicates. Straight lines correspond to the first bisector.

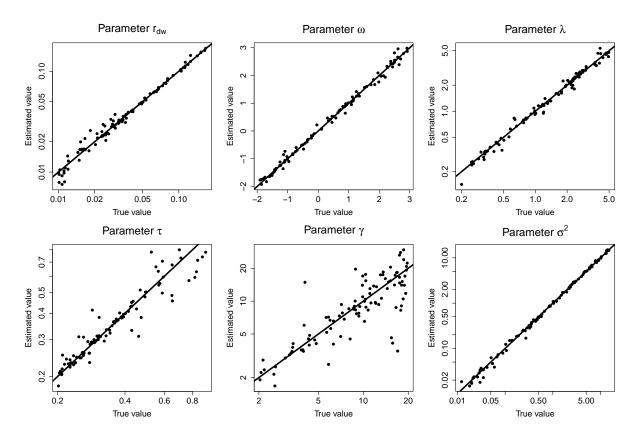


Figure S4: Practical parameter identifiability for the dispersal model J_{ExpP} . Each point represents the parameter estimation ('Estimated' value) depending on the real parameter ('True' value). Each graph regroups the results of 100 replicates. Straight lines correspond to the first bisector.

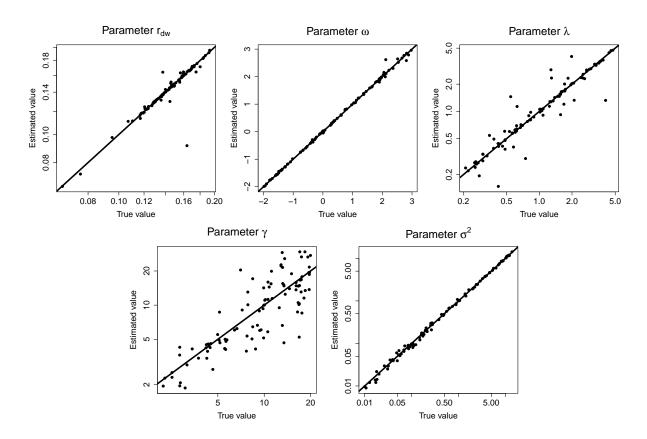


Figure S5: Practical parameter identifiability for the dispersal model R.D. Each point represents the parameter estimation ('Estimated' value) depending on the real parameter ('True' value). Each graph regroups the results of 100 replicates. Straight lines correspond to the first bisector.

S4.2 Model selection

Model practical identifiability was carried out in a similar way than parameter practical identifiability (Appendix S4.1), except that we fitted to each data set the true model (as previously) but also the three other models corresponding to the alternative hypotheses on the dispersal process. Models were compared using AIC (Akaike Information Criteria) to select the best data-supported model. AIC were assessed as 2k - 2ln(L) where *k* is the number of parameters of the model considered and *L* is the maximized value of the likelihood function. To gain more insights into the confidence level in model selection, we also calculated for each data set the difference between the AIC of the model selected and the AIC of the second-best model according to the two possible issues of the selection procedure: (i) when the model selection procedure was successful (*i.e.* the selected model was the true model) and (ii) when the model selection procedure was incorrect (*i.e.* the true model was not selected). The mean of these values were reported as $dAIC_{true}$ and $dAIC_{wrong}$ in Table 2. The steps were reiterated until the estimation of n = 50 realistic epidemics for each dispersal model.

S4.3 Parameter inference on the real data set

The model selection procedure was applied to the real data set by fitting four dispersal process hypotheses (J_{Exp} , J_{Gauss} , J_{ExpP} and R.D.). The same optimisation routines described in Appendix S4.1 were performed from five initial conditionsparameter values selected as in Step 3.2 (Appendix S4.1). The selected model corresponds to hypothesis J_{ExpP} . For parameter estimations, we used the mle2 function from the R package bbmle, with method NELDER-MEAD and optimizer NLMINB, to obtain maximum likelihood estimates of the vector of parameters $\hat{\theta}$ and of its matrix of variancecovariance $\hat{\Sigma}$. We used as initial conditionsparameter values the vector of parameters θ giving the lowest AIC value in the previous model selection procedure. Confidence intervals were derived from 1,000 random draws from the multivariate normal distribution with parameters $\hat{\theta}$ and $\hat{\Sigma}$. The 95% confidence intervals of each parameter is obtained using the quantiles 2.5% and 97.5% (Table 4).

S4.4 Model check

The model was checked by assessing the coverage rate of the data from the 95%-prediction intervals. The coverage rate was estimated as the proportion of observed data from the raw sampling within the prediction intervals (Figure 5).

Data from the raw sampling represent 97 counts Y_{st} of infected trees at sites $s \in \{1, ..., S\}$ (with S = 12 or S = 45 depending on the sampling date) and times $t \in \{1, ..., 6\}$. Let us recall that, as stated in Appendix S2, Y_{st} follows a combination of Poisson and Beta-Binomial distributions whose parameters depend on the known mean value $(\lambda_m)_t$ and the unknown $u(t, x_s)$, γ and σ^2 , and that $u(t, x_s)$ is a deterministic function of dynamical parameters r, λ and τ .

Prediction intervals were calculated at each date and each site with a two-step procedure:

Step 1 A confidence interval was obtained from 1000 random draws from the multivariate normal distribution with $\hat{\theta}$ and $\hat{\Sigma}$.

Step 2 The mean proportions of infected trees were calculated at each date and site date from each random draw of parameters obtained from Step 1. A prediction interval was obtained from these parameters given the probabilities of infection, with 1,000 random draws in the observation laws.

Model checks were performed for each dispersal kernel model, and not only the selected model J_{ExpP} , to ensure that the coverage rates were higher with the selected model (Figure 5 for the selected

dispersal model J_{ExpP} , and Figures S6 and S7 for dispersal models J_{Exp} and J_{Gauss} , respectively). The model check was not performed for dispersal model R.D. because the estimated dispersal distance λ_{estim} reached the upper limit of our numerical scheme $\lambda_{\text{up}} = 23$ and did not allow to calculate the confidence intervals.

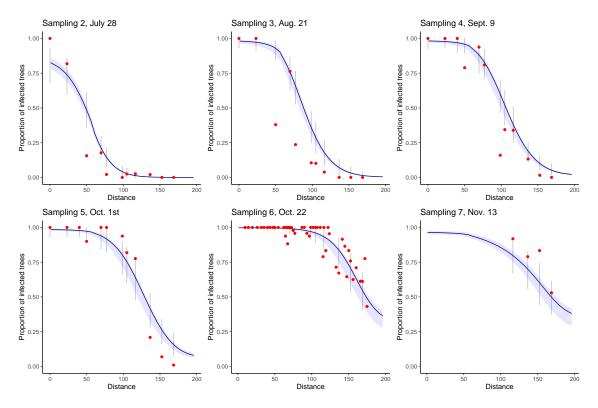


Figure S6: Model check under the dispersal model J_{Exp} : Coverage rates for the raw sampling. Each sampling date is represented on a separate graph. Sampling 1 is not represented because it corresponds to the initial condition of the epidemics for all simulations. Blue areas correspond to the pointwise 95% confidence envelopes for the proportion of infected trees, grey intervals correspond to the 95% prediction intervals at each site, *i.e.* taking into account the observation laws given the proportion of infected trees. Red points correspond to the observed data. Only four observations are available for sampling 7 because at this date (November 13) the leaves had already fallen from the trees located upstream the valley. The total coverage rate over all sampling dates is 0.69.

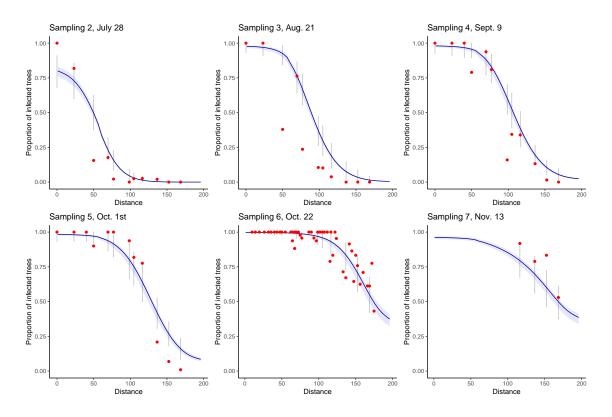


Figure S7: Model check under the dispersal model J_{Gauss} : Coverage rates for the raw sampling. Each sampling date is represented on a separate graph. Sampling 1 is not represented because it corresponds to the initial condition of the epidemics for all simulations. Blue areas correspond to the pointwise 95% confidence envelopes for the proportion of infected trees, grey intervals correspond to the 95% prediction intervals at each site, *i.e.* taking into account the observation laws given the proportion of infected trees. Red points correspond to the observed data. Only four observations are available for sampling 7 because at this date (November 13) the leaves had already fallen from the trees located upstream the valley. The total coverage rate over all sampling dates is 0.67.

S4.5 Sampling densification

As in Appendix S4.2, numerical simulations were run to disentangle the true dispersal process from alternative dispersal processes, with densification of time and site for the raw and the refined sampling. Simulations were run with 21 sampling dates instead of 6, which amounts to one sampling every week. The number of sampling sites was set to 45 for all sampling dates. The steps described in Appendix S4.1 and S4.2 were reiterated until the estimation of n = 50 realistic epidemics for each dispersal model. Table S2: Efficiency of model selection for the densification of time samples (21 instead of 6) and the site sampled (45 instead of 12). The four first columns indicate the proportion of cases, among 50 replicates, where each tested model was selected using AIC, given that data sets were generated under a particular model (*i.e.* true model). Column $dAIC_{true}$ (*resp.* $dAIC_{wrong}$) indicates the mean difference between the AIC of the model selected when the model selected is the true one (*resp.* when the model selected is not the true model) and the second best model (*resp.* being the true model or not).

		Selected	d Model	_		
	$J_{\rm Exp}$	J_{Gauss}	J_{ExpP}	R.D.	<i>d</i> AIC _{true}	<i>d</i> AIC _{wrong}
True Model			-			C
J _{Exp}	0.72	0.06	0.16	0.06	3.23	1.05
$J_{\rm Gauss}$	0.22	0.60	0.04	0.14	7.33	1.67
$J_{\rm ExpP}$	0.12	0.06	0.82	0	1788.56	2.72
R.D.	0.1	0.28	0.02	0.60	27.01	0.94

S5 Carrying capacity of poplar leaves

We measured the area of 10 wild poplar leaves (*Populus nigra*) and obtained a mean leaf area of $870 \, mm^2$. We consider that poplar rust can not infect the leaf veins and edges, which represent approximately 15% of the leaf area. This leads to a net leaf area accessible to the pathogen of $740 \, mm^2$. The size of a poplar rust lesion ranges from $0.2 \, mm^2$ to $0.8 \, mm^2$ (Maupetit et al., 2018). The lesions cannot fuse and are surrounded by living host tissue. We thus consider a lesion occupies a total area of $1 \, mm^2$. This leads to a maximum of 740 lesions per leaf on average. To respect this order of magnitude, we consider in this analysis that the carrying capacity of a poplar leaf is 750 poplar rust lesions.

References

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