

#### **RESEARCH ARTICLE**

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# HairSplitter: haplotype assembly from long, noisy reads

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# Abstract

**Motivation:** Long-read assemblers face challenges in discerning closely related viral or bacterial strains, often collapsing similar strains in a single sequence. This limitation has been hampering metagenome analysis, where diverse strains may harbor crucial functional distinctions.

**Results:** We introduce a novel software, HairSplitter, designed to retrieve strains from a strain-oblivious assembly and long reads. The method uses a custom variant calling process to operate with erroneous long reads and introduces a new read binning algorithm to recover an a priori unknown number of strains. On noisy long reads, HairSplitter can recover more strains while being faster than state-of-the-art tools, both in the viral and the bacterial case.

Availability:HairSplitterisfreelyavailableonGitHubatgithub.com/RolandFaure/HairSplitter.Contact:roland.faure@irisa.fr

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# Introduction

Microbiomes play a crucial roles in many ecosystems, such as soils or human guts, in turn impacting hu-2 man health (Conlon and Bird, 2014) and soil fertility (Coban et al., 2022). Microbiomes typically contain sets of organisms with highly similar genomes, the sequences of which are called haplotypes (short for "haploid genotypes" (Ceppellini et al., 1967)). Distinguishing these lineages is an important challenge, as small genomic 5 differences between haplotypes can lead to significant phenotypic changes. For instance, some strains of 6 Escherichia coli can be pathogenic or commensal while having an Average Nucleotide Identity (ANI) (Konstan-7 tinidis and Tiedie, 2005) of more than 98.5% (Frank et al., 2011). A few mutations also became famous for 8 altering significantly the infectiousness of some coronaviruses lineages (Magazine et al., 2022). 10 De novo sequencing and assembling is a central method to characterize microbial communities. Unlike pre-11 vious methods, it allows to analyse the composition of a metagenome without culturing the strains, enabling a 12 wide range of analyses (Ward, 2006). While existing genome assemblers proficiently reconstruct genomes of 13 abundant species, they struggle to distinguish viral or bacterial haplotypes. The main difficulty for assemblers 14 lies in the unknown number of haplotypes in a sample and their uneven coverage (Ghurye et al., 2016). 15 16 Many tools have been developed to overcome this problem in the context of short-read assemblies, such 17 as OPERA-MS (Bertrand et al., 2019), Constrains (C Luo et al., 2015), STRONG (Quince et al., 2020), StrainXpress 18 (Kang et al., 2022) and VStrains (R Luo and Lin, 2023). However, these methods are not designed for long-read 19 sequencing and do not exploit the long-range information contained in long reads. 20 21 Long reads with extremely low error rate, such as PacBio HiFi reads, have been used to distinguish finely 22

strains with the help of specialized software such as hifiasm (Cheng et al., 2021) and stRainy (Kazantseva et al., 2023). However, this challenge has not been yet successfully tackled in the case of noisier reads such as
"regular" PacBio data or Oxford Nanopore Technology (ONT) reads, the latter of which can be obtained very rapidly on cheap sequencers that are small enough to be carried into the field (Cesare et al., 2024; Runtuwene et al., 2019).

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Several methods have been implemented to deal with haplotype separation for long reads with high er-29 ror rates. While the viral and bacterial haplotype assembly problems are identical in their formulation, the 30 characteristics of the input data vary significantly: the genomes are generally much shorter and much more 31 deeply sequenced in the viral case. This has led to the emergence of software specialized in either of the 32 two problems. In the context of bacterial strain separation, Vicedomini et al., 2021 showed that mainstream 33 assemblers such as metaFlve (Kolmogorov et al., 2020) and Canu (Koren et al., 2017) failed to distinguish close 34 bacterial haplotypes and proposed a new tool, called Strainberry, to reconstruct strains. In the context of 35 viral strain separation, Strainline (X Luo et al., 2022) and HaploDMF (Cai et al., 2022) were presented to tackle 36 specifically the viral haplotype reconstruction problem and need very high depth of sequencing to work. The 37 method iGDA (Z Feng et al., 2021) was proposed as a general approach to phase minor variants while handling 38 high error rates and can theoretically assemble both bacterial and viral haplotypes. The main shortcomings 39 of all of these methods is that they struggle to recover haplotypes of low abundance. Additionally, most of 40 these tools are very computationally intensive. 41

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We present HairSplitter, an efficient pipeline for separating haplotypes in the viral and bacterial context using potentially error-prone long reads. HairSplitter first calls variants using a custom process to distinguish actual variants from alignment or sequencing artefacts, clusters the reads into an unspecified number of haplotypes, creates the new separated contigs and finally untangles the assembly graph. HairSplitter can be used for either metaviromes or bacterial metagenomes.

# Methods

### **overview of the pipeline**

HairSplitter takes as input an assembly (in fasta format) or an assembly graph (in gfa format) as well as sequencing reads (fasta/q) and produces a new assembly (fasta and gfa). The HairSplitter pipeline is depicted on Figure 1 and comprises five steps: 1) correcting the assembly, 2) calling variants on each contig, 3) separating the reads by haplotype on each contig, 4) reassembling the strain-specific contigs and 5) unzipping.

#### **55** Completion of the assembly graph

To work well, HairSplitter needs as input an assembly graph on which all non-chimeric reads align from end 56 to end, which we define as a "complete" assembly graph. If the assembly was not provided as a graph, it is 57 turned into an incomplete graph with no edges. Collapsed assembly graphs are also often incomplete because 58 of contigs that have been detached from their neighbors and of collapsed structural variation between strains. 59 Aligning reads on an incomplete graph translates as locations where a significant number of reads stop 60 aligning, which we call breakpoints. Breakpoints can occur in the middle or the end of contigs. To complete 61 the initial assembly graph, the reads are aligned on the graph using minigraph (Li et al., 2020). The assembly is 62 subsequently examined for breakpoints and HairSplitter breaks the contigs at these breakpoints. Additionally, 63 links are added in the graph between ends of contigs when there is sufficient read support. The process is 64 illustrated in Figure 1a. An evaluation of this step in terms of misassemblies and contiguity is provided in 65 Supplementary Table 5. 66 The completed assembly resulting from this process is used throughout the subsequent stages of the 67 pipeline. 68

# Mathematical model behind variant calling

To sort reads into haplotypes, the intuitive method of clustering reads based on the similarity of their full sequence proves ineffective due to the dominance of sequencing and alignment errors, obscuring strain differences. HairSplitter first identifies variants positions, pinpointing loci where strains exhibit actual differences. The reads are then separated based only on these loci. We did not find any variant caller suitable for our specific challenge - calling variants with noisy long reads in a metagenomic context including potentially lowabundance strains while maintaining high computational efficiency. Thus, we devised our own variant calling procedure.

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The naivest procedure to identify polymorphic loci consists in going through the pileup of the reads on the assembly and identifying loci where at least a proportion p of reads have an alternative allele. However, this approach falls short when using error-prone reads. For instance, in the case of a strain representing only 1% of the total of the reads, p needs to be less than 0.01 to detect variant positions corresponding to this strain, resulting in the selection of many artefactual positions if the reads have an error rate > 1%.

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The key lies in taking several loci into account simultaneously, an idea already explored in (Z Feng et al., 2021) and leveraging the assumption that alignment artifacts occur randomly in the pileup while genomic variant are expected to be correlated along the alignment. Consequently, pileups at polymorphic loci are expected to exhibit strong correlation, contrary to pileups at non-polymorphic loci. HairSplitter introduces a new statistical approach and a new algorithm to exploit this observation and detect even rare strains, as illustrated

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**Figure 1.** Illustration of the five steps of the HairSplitter pipeline. Colored rectangles represent contigs, thick blue lines are links in the assembly graph and black lines represent the reads aligned on the assembly. Orange shapes on reads and contigs indicate variant positions compared to the original sequence.

 ${\tt ref} \ {\tt AACAAGATAGACCAGATAGACACAGATTGGCGTTTAGGAACAGATGACAGATACGCA}$ 

r1 AACAAGATAGA-CAGATAGACACAGATTGGCGTTTAGGAACAGATGACAGATA-GCA
r2 AACAAGATAGAC-AGATAGCACAGATTGGCGTTTAGGAACAGATGACAGATA-GCA

r4 AACCAGATAGAC-AGATAGACACAGATIGGCGTTTAGGAACAGATGACAGATAGCCA

r5 AACCAGATAGA-CAGATAGGCACATATTGGCGTTTAGGAACAGTTGACAGA--CGCA

r6 AACCAGATAGAC-AGATAGACACATATTGGCGTTTAGGATCAGTTGACAGATA-GCA

**Figure 2.** In this pileup of reads, does the submatrix of variants highlighted in red vouch for the presence of two strains? The probability that there exist 3 reads having alternative allele at 3 loci if we estimate e = 0.1 is less than 0.02: the variants are thus likely not independent and probably underline the presence of at least two different strains.

89 below.

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<sup>91</sup> Consider a complete pileup of *n* reads over *m* positions, which we will model as a matrix of letters. Let us <sup>92</sup> assume that errors occur independently on all reads and at all positions with a probability  $\leq \epsilon$  and that all <sup>93</sup> errors on a given column are identical (worst-case scenario). We aim to estimate the probability that there <sup>94</sup> exist *a* reads that share errors at *b* different loci. In other words, the probability that there exist a submatrix <sup>95</sup> of size *a* \* *b* containing only errors in the pileup, defined by selecting *a* rows (reads) and *b* columns (loci). <sup>96</sup> There exist  $\binom{n}{a}\binom{m}{b}$  submatrices of size *a* \* *b*. Each of these submatrix has probability lower than  $\epsilon^{ab}$  to con-<sup>97</sup> tain only errors. Therefore, given that the expectation is linear (DeGroot and Schervish, 2002), the expectation <sup>98</sup> *E* of the number of submatrices of size *a* \* *b* containing only errors in the pileup is lower than  $\binom{n}{a}\binom{m}{b} * \epsilon^{ab}$ .

<sup>98</sup> *E* of the number of submatrices of size a \* b containing only errors in the pileup is lower than  $\binom{n}{b}\binom{m}{b} * \epsilon^{ab}$ . <sup>99</sup> Now, to obtain the probability that there exist no submatrix of size a \* b containing only errors, we can use <sup>100</sup> Markov's inequality, according to which the probability that a positive random variable be higher than 1 is

- always smaller than the expectation of this variable (DeGroot and Schervish, 2002). Here, it tells us that the
- probability that there exist a submatrix containing only errors is smaller than E. In other terms, the probability
- that there exist somewhere in the pileup a reads sharing errors at b different loci is lower than  $\binom{n}{a}\binom{m}{b} * \epsilon^{ab}$ . Now, let us consider a pileup with n = 1000 reads across m = 5000 positions and  $\epsilon = 0.1$ . The probability that there exist a = 10 reads sharing errors at b = 10 different loci is lower than  $\binom{n}{a}\binom{m}{b} * \epsilon^{ab} = 9.10^{-44}$ .

Therefore, if the error rate is of 10% or less and the pileup indicates 10 reads (1% coverage) sharing an alternative allele at 10 loci (divergence of 0.2%), we can confidently assume that these are not errors, suggesting these reads originate from the same strain, and the loci are polymorphic sites.

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Despite its simplified nature, this model underscores the statistical power gained by examining multiple loci simultaneously, enabling the detection of low-abundance, highly similar strains even in the presence of very noisy long reads. The idea behind the model is illustrated in Figure 2.

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#### <sup>114</sup> Variant calling

The approach to identifying polymorphic loci capitalizes on the statistical power underlined above. Specifically, HairSplitter aims to identify clusters of positions featuring alternative alleles on the same reads.

To generate the pileup, all reads are aligned to the contigs of the assembly using minimap2 (Li, 2018). Hair-Splitter then traverses the pileup of each contig and determines, for each position, the majority allele and the main alternative allele (either a base or an indel). Only positions with a minimum of five reads carrying alternative alleles are considered potential polymorphic sites to ensure statistical robustness (cf. model above). HairSplitter compares each new position to previously observed positions. If the set of reads with alternative alleles at this position and at a previously encountered position share more than 90% reads, the new position is clustered with the old one. 125

After all positions have been considered, clusters are tested using the statistical model described above and only clusters with a p-value below 0.001 are kept. The corresponding positions are outputted as polymorphic sites.

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#### 130 Read binning

The contig is divided into windows with a default size of *w* (2000 bases by default). Reads are binned by haplotypes sequentially on the windows of a contig. Only reads spanning the entirety of the window are considered for binning. To cluster reads, HairSplitter operates on the premise that reads originating from the same haplotype should be identical at all polymorphic loci. Nevertheless, inherent sequencing and variantcalling errors might introduce unintended discrepancies among reads from a single haplotype. To address this, HairSplitter adopts a three-step strategy.

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Step one is to correct errors at polymorphic loci. HairSplitter corrects the errors at polymorphic loci by performing a k-nearest-neighbour imputation (Fix and Hodges, 1989), with k = 5. The distance between two reads is defined as the number of different alleles at polymorphic positions. Each base of the pileup is considered and changed to the most frequent base among the k nearest neighbours on all reads and all positions until convergence.

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Step two is to form clusters of reads, clustering reads together if and only if they exhibit no differences at any polymorphic loci.

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In the third step, a last check is run to rescue small clusters that can arise from errors in Step 1. HairSplitter 147 constructs a graph linking each read to its k closest neighbours, including links between all pairs of reads 148 differing on one position or less. The graph is then clustered using the Chinese Whispers algorithm (Biemann, 149 2006), initialising the clustering with the clusters obtained in the second step. The Chinese Whispers algorithm 150 iteratively assign reads to the most represented cluster among their neighbors until convergence. The Chi-151 nese Whispers algorithm always converge toward a stable solution, i.e. a clustering where all reads are in the 152 same group as at least half of their neighbors. There exist many stable clusterings but the algorithm is likely 153 to converge to a solution close to the initialization: the clusters obtained in the second step are unlikely to be 154 significantly altered, but very small clusters will likely be merged with other close cluster. 155 156

#### 157 Reassembly

Across all windows on every contig, the original sequence undergoes repolishing using the haplotypespecific groups of reads previously identified. The polishing can be executed with either Racon (Vaser et al., 2017) or Medaka (*Medaka* 2018), with the latter being more precise but considerably slower in our experience. By default, HairSplitter uses Medaka exclusively for short genomes ( $\leq$  1 Mb).

#### 162 Graph Unzipping

The resulting assembly comprises contigs of length w that can easily be stitched into longer contigs. For this purpose, a straightforward algorithm is employed, GraphUnzip (Faure et al., 2021), depicted in Figure 1e. Let us call a contig exhibiting multiple outgoing links with other contigs at one end a "knot". Knots generally represent collapsed contigs. GraphUnzip initially aligns all reads on the assembly graph. Subsequently, GraphUnzip iteratively assess nodes. If more than three reads traverse a neighbor of the knot (called A), then

dataset	species	# strains	strain coverages	ANI divergence	sequencing technology
HBV-2	hepatitis B	2	4000x, 9900x	10%	Nanopore R.9.4.1
Norovirus-7	Norovirus	7	50, 350, 450, 700, 900, 1150, 1400x	1-3.9 %	Nanopore R.9.4.1
V. fluvialis	Vagococcus fluvialis	5	90x, 136x, 172x, 182x, 206x	0.01-1.51%	Nanopore R9.4.1
Zymo-GMS Q9	Escherichia coli	5	90x, 90x, 90x, 90x, 90x	0.37-1.51%	Nanopore R9.4.1
Zymo-GMS Q20	Escherichia coli	5	25x, 25x, 25x, 25x, 25x	0.37-1.51%	Nanopore R10.4.1
Zymo-GMS HiFi	Escherichia coli	5	41x, 41x, 41x, 41x, 41x	0.37-1.51%	PacBio HiFi

Table 1. Characteristics of the different datasets used for benchmarking on real data.

traverse the knot, and traverse another neighbor at the opposite end of the knot (called B), the knot is du-

plicated to create a new contig which will have as unique neighbors A and B. The links from A and B to the

original knot are deleted, preserving only the links to the copy of the contig. This process is repeated until no

<sup>171</sup> further knots can be duplicated.

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# Results

#### 173 Datasets

The datasets used in this article are described in Table 1. The accession numbers of the data on public repositories can be found in section\* "Reproducibility and data availablility".

#### 176 Bacterial datasets

We used the Zymobiotics Gut Microbiome Standard (abbreviated to Zymo-GMS) and a Vagococcus fluvialis 177 dataset (Rodriguez Jimenez et al., 2022) to compare the performance of different algorithms designed to sepa-178 rate bacterial haplotypes in a metagenomic context. Zymo-GMS is a mixture of bacteria, archaea and yeast, 21 179 different strains in total, dosed to mimic the composition of the human gut microbiome. These 21 strains in-180 clude five Escherichia coli strains, which we used to evaluate the strain-separation ability of various programs. 181 Three Zymo-GMS sequencing were used, respectively from a Nanopore R9.4.1 run, a Nanopore 10.4.1 run 182 and a PacBio HiFi run. The Vagococcus fluvialis dataset consists of a mix of five Vagococcus fluvialis strains that 183 were sequenced together using barcoded reads, each barcode corresponding to a strain. We did not use the 184 barcode information for the assemblies, reserving them for validation. Among the five strains, three had an 185 ANI over 99.99%. metaFlye is used to assemble the reads, as it yielded better assemblies compared to Canu 186 according to Vicedomini et al. (Vicedomini et al., 2021). 187 In addition, we simulated datasets to assess the impact of the number of strains, coverage and divergence 188

on the assemblies. These experiments were directly inspired by the protocol of Vicedomini et al. (Vicedomini 189 et al., 2021). The genomes of ten strains of Escherichia coli were downloaded from the SRA, namely 12009 190 (GCA\_000010745.1), IAI1 (GCA\_000026265.1), F11 (GCA\_018734065.1), S88 (GCA\_000026285.2), Sakai (GCA\_ 191 003028755.1), SE15 (GCA 000010485.1), Shigella flexneri (GCF 000006925.2), UMN026 (GCA 000026325.2), HS 192 (GCA 000017765.1), and K12 (GCF 009832885.1). These strains were chosen to be representative of the diver-193 sity of E. coli. We simulated Nanopore sequencing using Badread (R Wick, 2019) with the setting "Nanopore2023" 194 to simulate 50x of R10.4.1 reads. Between 2 and 10 strains were mixed to assess how many strains the soft-195 ware could separate. From the 10-strain mix, the 12009 strain was downsampled to 30x, 20x, 10x and 5x 196 to assess the impact of the coverage on strain separation. Finally, to assess the impact of the divergence 197 of sequences on strain separation, 50x of reads were simulated for strain K12 and for strains of decreasing 198 divergence with K12; assemblies of K12 with each of these strain was evaluated for separation. 199

#### 200 Viral datasets

Two datasets were used to benchmark the performance of the programs tested at separating viral haplotypes, a 2-strain hepatitis B Virus (HBV) mix from (McNaughton et al., 2019) and an in-silico mix of the sequenc-



**Figure 3.** 27-mer completeness, MetaQUAST completeness and run-time of different software on the *Vago-coccus* and the three Zymo-GMS dataset. The run-times are the run-times of the full assembly pipeline (assembly+strain separation) and are represented in log scale.

ing of seven strains of Norovirus from Cai et al. (Flint et al., 2021). These datasets were directly taken from
 the paper of HaploDMF (Cai et al., 2022). The reference genomes to run reference-based tools were taken as
 the reference genome in the GenBank database, GCF\_000861825.2 for HBV and MW661279.1 for Norovirus.

#### **206** Performance evaluation

We used MetaQUAST (Mikheenko et al., 2015) to measure assembly features such as assembly length, NG50, misassemblies, mismatches, indels and completeness. MetaQUAST was run with the –unique-mapping and –reuse-combined-alignments options to prevent a sequence, whether a contig or part of it, from being mapped to multiple distinct reference locations.

To assess if strains are well represented, the most important metric is the completeness of the resulting assembly. We chose to assess MetaQUAST completeness but also 27-mer completeness. MetaQUAST completeness measures the percentage of the solution on which the assembly aligns, while 27-mer completeness measures the percentage of the 27-mers of the solution that are effectively found in the assembly. Collapsed homozygous contigs typically impact negatively MetaQUAST completeness but not 27-mer completeness.

#### 216 Evaluated software

In addition of HairSplitter, we chose to evaluate the software stRainy (Kazantseva et al., 2023) and Strainberry (Vicedomini et al., 2021), which have been introduced specifically as bacterial strain separation methods, hifiasm-meta (X Feng et al., 2022), which is the most popular assembler for direct HiFi assembly, Strainline (X Luo et al., 2022) and HaploDMF (Cai et al., 2022), which have been introduced as viral strain separation methods and finally iGDA (Z Feng et al., 2021), which can perform both.

We have tried using all these software on all datasets. Strainline and HaploDMF failed to run in reasonable time on non-viral datasets and were automatically killed after 15 days of processing. Strainline failed to perform strain separation on the HBV-2 dataset within its allowed RAM limit of 50G, probably because of the high coverage. We tried downsampling the dataset but the problem remained.

The reference-based virus phasing tools were run with the same reference genome as in (Cai et al., 2022), MT622522.1 for hepatitis B and MW661279.1 for Norovirus.

#### 228 Benchmarking evaluation

#### 229 Bacterial haplotypes

The benchmark results on the Zymo-GMS and *V. fluvialis* datasets are illustrated in Figure 3 and detailed in Supplementary Table 2. HairSplitter performed better separation of the conspecific strains compared to the original metaFlye assemblies, delivering more comprehensive and accurate assemblies than Strainberry

🛖 metaFlye 🔶 metaFlye+Strainberry 🔫 metaFlye+HairSplitte



**Figure 4.** MetaQUAST completeness of assemblies of simulated metagenomes of *E. coli*. On the left, mix of 2 to 10 strains sequenced with 50x coverage were assembled. In the middle, strain 12009 was downsampled in the 10-strains metagenome and completeness of the 12009 strain is measured. On the right, reads of strains of decreasing divergence were mixed with K-12 reads and assembled.

and iGDA. Particularly with Nanopore data, HairSplitter produced the most complete assemblies, though less
 contiguous than those produced by Strainbery.

On HiFi reads, the stRainy, hifiasm and HairSplitter assemblies depicted a high k-mer completeness. However, they showed either a high duplication ratio (for stRainy and hifiasm) or low metaQuast completeness (for HairSplitter) because none managed to duplicate repeated genomic regions to their correct multiplicities. This effect is also observed in several Nanopore assemblies, where 27-mer completeness remains high while MetaQUAST completeness is notably lower. Typically, the three almost identical *V. fluvialis* strains were assembled as one.

The completeness of assemblies in the simulated benchmark is presented in Figure 4, with a detailed evalu-241 ation in Supplementary Table 3. The evaluation of iGDA is not depicted because iGDA inexplicably decreased 242 the completeness of the original metaFlye assemblies. Simulations indicated that HairSplitter significantly 243 outperformed Strainberry, particularly in scenarios involving a high number of strains in the metagenome 244 or highly similar strains. The relatively high completeness of the 8-strains Strainberry assembly can be at-245 tributed to its high duplication ratio. The completeness of HairSplitter assemblies decreased with the depth 246 of coverage, especially below 20x coverage. The completeness also decreased slightly with the divergence 247 of the strains, though the metaQuast completeness remained high (84%) when assembling two strains with 248 0.07% divergence. Interestingly, the decline in MetaOUAST completeness with coverage and divergence was 249 more pronounced than the decline in 27-mer completeness, highlighting HairSplitter's effectiveness in sepa-250 rating divergent regions and its difficulties in duplicating homozygous regions. This corresponds to the results 251 observed in the Zymo-GMS datasets, where many pairwise divergences of strains were < 1%. 252

#### 253 Viral haplotypes

The completeness results of the benchmark on the viral datasets are depicted Figure 5 and more complete evaluation of assemblies are available in Supplementary Table 5.

HaploDMF and HairSplitter managed to separate completely the HBV strains according to MetaQUAST.
 iGDA failed to recover the strains, while Strainberry outputted four different haplotypes instead of two (see



**Figure 5.** 27-mer completeness, MetaQUAST completeness and run-time of different software on the two viral datasets. Note that the run-time is shown in log scale. The Strainline assembly of HBV-2 is not shown because Strainline could not finish on this dataset.

supplementary Table 5). We checked that HaploDMF and HairSplitter separated the reads adequately, thus
 the slight differences in 27-mers completeness stem from polishing errors.

HairSplitter stood out as the sole software capable of successfully recovering all seven strains in the Norovirus 260 mix, even capturing the least abundant strain comprising only 1% of the mix. To assess the sensitivity limits of 261 HairSplitter in the viral context, we conducted two additional experiments within the Norovirus mix. In the first experiment, we decreased the relative abundance of the rarest strain to 0.1%, while maintaining 50x cover-263 age by uniformly increasing the coverage of the other strains. Remarkably, HairSplitter still achieved complete 264 recovery (99.99% MetaQUAST completeness) of the rarest strain. The limited amount of data prevented us 265 to further reduce the strain's relative abundance. In the second experiment, we uniformly diminished the 266 coverage of all strains. The rarest strain was entirely recovered (99,99% MetaOUAST completeness) when cov-267 ered at >40x, only the most divergent part of the virus was recovered (26.4% MetaOUAST completeness) at 268 coverage 20x and 30x, and the strain was not recovered at all at 10x coverage. The primary determinant of 269 HairSplitter's sensitivity thus seems to be absolute coverage rather than the strain's relative coverage. 270

# Discussion

In this manuscript, we introduce HairSplitter, a pipeline to assemble haplotypes separately using an input assembly and long reads. The pipeline includes two main novelties, a program that completes an assembly graph and a read separation procedure. HairSplitter proved useful when dealing with noisy data ( $\geq 1\%$  error rate), whereas its usefulness on HiFi reads compared to specialised software such as hifiasm or stRainy is debatable. We show that HairSplitter can effectively separate several highly similar strains in both bacterial and viral contexts. Compared to the state of the art, HairSplitter can deal with a higher number of strains, lower relative abundances and lower strain divergence, while maintaining a low computational cost.

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HairSplitter encounters a major limitation when strains have many homozygous regions. In these regions, it is not possible to assign reads to specific haplotype groups, making it necessary to duplicate the homozygous regions to their correct multiplicity in order to fully recover the strains. This study has demonstrated that this is a challenging problem that current assemblers have not been able to successfully address in the HiFi dataset. Further investigation is needed to solve this issue. A lead could be to use astutely the topology of the assembly graph.

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A direction for future work would also be to generalize the assembly graph completion module. The idea of the module is to make sure all reads align end-to-end onto the assembly graph. We believe such a module could be useful to improve many assemblies. However, the version implemented for now in HairSplitter is very basic and does not perform well in repeated, complicated regions of the graph. A more sophisticated module could involve local reassembly and iterative graph completion.

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Since HairSplitter is already successful at separating both bacterial and viral haplotypes, we expect to be able to extend this work naturally towards the phasing of polyploid organisms, including highly heterozygous non-model organisms, which remains an open problem (Guiglielmoni et al., 2021). For this particular case, some extra information could be leveraged to improve the HairSplitter pipeline, such as the fact that all haplotypes are expected to be equally abundant and that the total number of haplotype is usually known.

# **Reproducibility and data availablility**

The HairSplitter code can be found on github at https://github.com/rolandfaure/hairsplitter.

The experiments were run with Flye 2.9.2-b1786, hifiasm HairSplitter v1.9.4, HaploDMF commit a07d082c3,

Strainline commit 8d26341, iGDA commit 54ecec9, Strainberry v1.1, stRainy commit 34573cd, hifiasm-meta
 v0.3-r063.2, minimap2 v2.26-r1175 and Quast v5.2.0.

HBV sequencing reads can be found under accession number ERR3253560 in SRA. The seven Norovirus sets
 of reads can be found under accession numbers SRR13951181, SRR13951181, SRR13951186, SRR13951185,
 SRR13951184, SRR13951165 and SRR13951160. The *Vagococcus fluvialis* data are accessible under project
 PRJNA755170 in SRA. The Zymo-GMS sequencing data can be found under accession numbers SRR17913200,
 SRR17913199 and SRR13128013.

All the assemblies, simulated data and command lines used are available on Zenodo, DOI 10.5281/zenodo.10495033, https://zenodo.org/records/11639887.

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# **Conflict of interest disclosure**

The authors declare that they comply with the PCI rule of having no financial conflicts of interest in relation to the content of the article. The authors declare the following non-financial conflict of interest: Jean-François Flot is a recommender of PCI Genomics.

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# Supplementary material

		Complet- -eness (%)	Duplication ratio	NGA50	#misas- -semblies	#mismatches per 100 kbp	# indels per 100 kbp	Assembly length (Mb)
Vagococcus	metaFlye	26.90	1.097	-	40	340.14	383.57	4.4
fluvialis	metaFlye + iGDA	44.530	1.151	1313	2	115.57	391.70	7.4
	metaFlye + Strainberry	33.112	1.119	-	45	77.71	510.25	5.5
	metaFlye + HairSplitter	58.150	1.066	19881	31	102.49	410.95	9.1
Zymo-GMS Q9*	metaFlye	28.365	1.048	-	66	286.86	38.80	7.4
	metaFlye + iGDA	61.293	1.489	12450	21	225.67	41.30	22.6
	metaFlye + Strainberry	23.166	1.072	-	45	191.51	51.65	6.1
	metaFlye + HairSplitter	72.293	1.105	9974	24	65.77	39.60	19.8
Zymo-GMS Q20*	metaFlye	28.742	1.051	-	62	300.25	34.41	7.5
	metaFlye + iGDA	39.650	1.118	-	8	181.55	28.23	11.0
	metaFlye + Strainberry	59.197	1.138	28421	66	193.55	42.42	16.7
	metaFlye + HairSplitter	63.837	1.022	12000	43	40.01	21.69	16.2
Zymo-GMS HiFi*	metaFlye	66.064	1.076	79832	39	92.55	6.65	17.6
	metaFlye + iGDA	42.996	1.515	17104	15	102.70	9.96	16.1
	metaFlye + Strainberry	72.016	1.142	53249	46	57.53	6.92	20.4
	metaFlye + HairSplitter	84.418	1.286	25851	69	32.35	12.16	26.9
	metaFlye + stRainy	97.078	1.737	41195	47	44.15	12.26	41.8
	hifiasm	98.732	1.911	288422	82	30.07	4.99	46.7

**Table 2.** metaQuast metrics of the bacterial assemblies obtained from experimental data. \*metrics are computed with respect to the 5 *E. coli* strains, not the complete dataset - the assembly length is the aligned assembly length on the *E. coli* reference

		Complet- -eness (%)	Duplication ratio	NGA50	#misas- -semblies	#mismatches per 100 kbp	# indels per 100 kbp	Assembly length (Mb)
# strains								
2	metaFlye	57.137	1.043	61559	22	216.18	216.53	6.1
	metaFlye + Strainberry	99.268	1.074	701492	11	24.83	64.73	10.8
	metaFlye + HairSplitter	99.716	1.008	396746	1	8.21	42.73	10.2
4	metaFlye	40.666	1.071	-	50	562.91	268.83	8.9
	metaFlye + Strainberry	95.631	1.148	251144	39	93.07	81.88	22.3
	metaFlye + HairSplitter	99.109	1.064	109320	39	25.74	50.83	21.3
6	metaFlye	28.949	1.087	-	63	585.20	264.89	9.4
	metaFlye + Strainberry	47.430	1.108	8151	90	289.19	92.23	15.7
	metaFlye + HairSplitter	96.717	1.086	77500	125	52.55	56.23	31.1
8	metaFlye	27.599	1.051	-	76	527.44	277.85	11.5
	metaFlye + Strainberry	90.438	1.533	83755	157	179.31	172.25	54.7
	metaFlye + HairSplitter	96.759	1.180	45253	244	87.74	84.97	45.1
10	metaFlye	23.130	1.036	-	79	469.27	277.71	11.7
	metaFlye + Strainberry	34.207	1.095	-	175	363.06	137.01	18.2
	metaFlye + HairSplitter	94.045	1.192	41223	262	93.74	66.47	54.5
coverage								
30x*	metaFlye	30.618	1.032	-	1	719.80	55.27	1.7
	metaFlye + Strainberry	28.188	1.085	-	2	347.21	127.06	1.7
	metaFlye + HairSplitter	90.206	1.170	40243	19	143.08	41.63	5.8
20x*	metaFlye	29.522	1.018	-	6	859.11	76.03	1.7
	metaFlye + Strainberry	20.562	1.037	-	3	274.86	102.01	1.2
	metaFlye + HairSplitter	87.948	1.093	37879	22	130.95	77.82	5.2
10x*	metaFlye	18.201	1.005	-	1	498.17	85.10	1.0
	metaFlye + Strainberry	16.178	1.007	-	1	347.06	181.70	0.9
	metaFlye + HairSplitter	58.172	1.054	10763	6	214.47	117.59	3.3
5x*	metaFlye	12.020	1.010	-	2	849.88	201.29	0.6
	metaFlye + Strainberry	9.807	1.013	-	2	422.61	273.49	0.5
	metaFlye + HairSplitter	24.746	1.082	-	2	464.50	197.55	1.5
divergence								
H5	metaFlye	54.246	1.002	19206	22	324.97	29.23	5.1
(1.09%)	metaFlye + Strainberry	98.157	1.001	652572	2	324.97	1.35	9.3
	metaFlye + HairSplitter	99.419	1.008	294365	6	8.08	15.25	9.4
AMSCJX03	metaFlye	54.783	1.001	18675	17	254.48	28.42	5.0
(0.91%)	metaFlye + Strainberry	93.390	1.003	279448	3	0.73	1.98	8.6
	metaFlye + HairSplitter	99.456	1.002	387661	11	10.96	23.29	9.2
RM74721	metaFlye	54.254	1.000	19360	19	132.60	11.73	5.0
(0.57%)	metaFlye + Strainberry	92.256	1.006	380826	1	2.97	8.57	8.6
	metaFlye + HairSplitter	98.957	1.007	265482	14	15.54	29.08	9.2
EC590	metaFlye	54.132	1.000	17337	10	117.79	12.85	5.0
(0.45%)	metaFlye + Strainberry	/1./49	1.003	156627	10	9.29	1./2	6.6
	metaFlye + HairSplitter	95.697	1.024	190/50	6	6.46	24.51	9.0
Y5	metaFlye	54.736	1.002	22104	21	63.85	9.38	5.2
(0.38%)	metaFiye + Strainberry	/2./58	1.006	181387	13	8.64	3.28	6.9
10274	metaHye + HairSplitter	97.154	1.024	253619	8	40.80	52.36	9.4
LD27-1	metaHye	53.295	1.001	19411	8	43.83	5.33	5.0
(0.27%)	metaFlye + Strainberry	62.101	1.004	112/49	13	/.41	3.53	5.8
1150067	metaHye + HairSplitter	88.101	1.055	13/245	2	95.36	97.47	8.6
	metariye	50.820	1.000	4/356	8	10.29	3.19	4./
(0.07%)	metaFlye + Strainberry	50.820	1.000	4/356	8	10.29	3.19	4./
	metariye + HairSplitter	86.419	1.064	131660	U	84.75	80.83	8.5

**Table 3.** metaQuast metrics of the bacterial assemblies obtained from simulated Nanopore R10.4.1 data. \*

 The metrics displayed for the downsampled datasets are the metrics computed with respect to the downsampled strain, and not with respect to the complete 10 strains.

		Completeness (%)	Duplication ratio	NGA50	#misassemblies	# mismatches per 100 kbp	# indels per 100 kbp
HBV-2	Strainberry	99.984	2.174	4504	3	881.59	1562.50
	iGDA	54.174	1.001	1081	0	201.15	229.89
	Strainline						
	HaploDMF	99.984	1.000	3207	0	15.58	93.46
	HairSplitter	99.953	1.001	3209	0	46.72	109.02
norovirus	Strainberry	14.283	1.000	-	0	52.97	13.24
	iGDA	69.514	1.548	2838	0	112.55	15.83
	Strainline	29.659	5.787	7541	0	479.44	136.67
	HaploDMF	85.702	1.000	7549	0	165.60	26.50
	HairSplitter	100.000	1.038	7550	0	107.57	35.96

**Table 4.** metaQuast metrics of the viral assemblies.

Number of strains		metaFlye assembly	metaFlye assembly after graph completion
2	N50	374204	374204
	#misassemblies	22	20
4	N50	136064	47179
	#misassemblies	50	17
6	N50	78959	36209
	#misassemblies	63	12
8	N50	73412	32985
	#misassemblies	76	18
10	N50	55801	26906
	#misassemblies	79	19

**Table 5.** N50 and metaQuast-measured number of misassemblies of simulated datasets with varying number of *E. coli* strains, before and after completing the assembly graph. Since the completion step breaks contigs, the N50 diminishes. The number of misassemblies diminishes with graph completion.